Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/AUDIT/DP/POLICY/2023/696   **November 30, 2023**

SUBMISSION OF VAPT REPORT

Depository Participants (DPs) are advised to refer to Communique CDSL/AUDIT/DP/POLICY/2023/58 dated January 25, 2023, advising the DPs that VAPT shall be carried out and completed during the period September to November of every financial year and the final report on said VAPT shall be submitted to the CDSL within one month from the date of completion of VAPT after approval from Technology Committee of respective DPs in terms of SEBI Circular no. SEBI/HO/MIRSD/TPD/P/CIR/2022/80 dated June 07, 2022.

Accordingly, DPs are advised to submit the final report on VAPT carried out for the current financial year to CDSL by December 31, 2023 online on https://auditweb.cdslindia.com. The Manual for submission of the report is enclosed as **Annexure A**

DPs are requested to take note of the above and ensure compliance.

Queries regarding this communiqué may be addressed to CDSL – Helpdesk through email on helpdesk@cdslindia.com or call us on 08069144800.

sd/-

Ajit Prabhu
Sr. Manager - Audit, Inspection & Compliance

Annexure A

Vulnerability Assessment and Penetration testing (VAPT) Report

-In VAPT report. All sections are divided into separate section as per given report format of SEBI- Annual report.

- DP IT Official can save multiple VAPT report which occur during the respective year.

Step 1- Log in Into Audit application by using the below link: -

https://auditweb.cdslindia.com/Login.aspx

- Now Sign in using 'Login Type-Designated officer'.
- Now enter User ID & Password and click on "Sign In" button.

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Step 2- Select audit type "VAPT Report**"** from the Drop down.

Step 3- Select 'Audit Month' from the Dropdown & Select DP/RTA as per your relevant audit month and the Name with the corresponding DP ID.

-Click on "Confirm" button.

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Step 4- Financial year for VAPT conducted.

Step5- Now add details of the VAPT vendor under field "VAPT Conducted by".

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Step 6- Now click on the Checkbox "Is VAPT vendor CERT-In empaneled" (if applicable).

Step 7- Now click on "Add VAPT Details' button" and fill the following details in the given

a) Type

b) Finding

c) Severity

d) Target date for closure of finding (DD/MM/YYYY)

e) Confirmatory Test date to review status of finding (DD/MM/YYYY)

e) Status of finding post confirmatory test

f) Remarks**.**

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Step 8- Now click on "Attach files".

KEYWORD : Audit

Step 9- Now click on "Choose file" option.

Step 10- Now click on "Upload" button and Upload the VAPT report. Post uploading, you will get a pop up from the page saying '' VAPT details saved successfully" that ensures that the file has been uploaded successfully.

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