



Central Depository Services (India) Limited

Convenient ⊕ Dependable ⊕ Secure

COMMUNIQUE TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/REPRT/2023/640

November 02, 2023

ADDITIONAL FIELDS IN DEMAT SET-UP / REJECTION AND CLOSURE INITIATED BY DEPOSITORY PARTICIPANTS

DPs are advised to note that following fields have been made mandatory while processing of demat requests in Webcdas (Demat setup & Demat setup through upload):

- Dispatch Date
- Dispatch Document ID
- Dispatch Name

In view of the above, DPs are advised to find the revised file format considering the above changes as **Annexure-A**.

In case of rejection of demat request by RTA, DP is advised to enter the Date of Rejection letter / memo received from RTA and Date of Rejection letter / memo sent to BO(s) using modification option provided in Demat module in Webcdas.

Additional fields i.e. 'Demat Rejection Letter Received Date with Time' and 'Demat Rejection sent to BO Date with Time' are made available.

Further, in case of Account Closure Initiated by DP, 'Date of 30 days notice issued to BO' is mandatorily required to be entered in Webcdas. Changes provided in file format is attached herewith as **Annexure-B**.

Naming convention of field 'BO Request Receipt Date' is renamed as 'BO Request Receipt Date / Notice Sent to BO Date' in the existing file format.

DPs are advised to note that the above-mentioned functionality is scheduled to be released **on Friday, November 24, 2023**.



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DPs are advised to take note of the same and incorporate necessary changes in their back-office software if any.

Queries regarding this communiqué may be addressed to CDSL – Helpdesk through email on helpdesk@cdslindia.com or call us on 08069144800.

sd/-

Nilesh Shah
Asst. Vice President - Operations

List of the transactions for which common file format has already been released is as follows:

| Sr. No. | Transaction Type Code | Transaction Type Description |
|----------|-----------------------|---|
| 1 | 01 | Demat [i.e. Dematerialization] |
| 2 | 03 | Normal Pay-in |
| 3 | 04 | Inter Depository |
| 4 | 05 | Off / On Market |
| 5 | 07 | Pledge |
| 6 | 07 | Un-pledge |
| 7 | 07 | Confiscation |
| 8 | 07 | Auto Un-pledge |
| 9 | 10 | Early Pay-in |
| 10 | 12 | BO Level Freeze |
| 11 | 12 | BO-ISIN Level Freeze |
| 12 | 12 | Unfreeze |
| 13 | 21 | Destat [i.e. Destatementization] |
| 14 | 26 | Remat [i.e. Rematerialization] |
| 15 | 28 | Restat [i.e. Restatementization] / Redemption |
| 16 | 30 | One to One Transmission |
| 17 | 31 | Account Transfer |
| 18 | 32 | One to Many Transmission |
| 19 | 39 | DIS Issuance / Cancellation |

Features

- Multiple Upload Types can be entered in the same file.

- The fields can be in any order.
- The first tag should be <Tp> indicating the upload type.
- Quantity can be Maximum 12 digits before decimals and 3 digits after decimals. Decimal Point is required in the file for decimal quantities.
- Quantity field should indicate the exact quantity. For e.g. If Quantity is 100 then it should be written as <Qty>100</Qty>.
- In case of decimals, the decimal point should also be included. For e.g. If Quantity is 100.123 then it should be written as <Qty>100.123</Qty>.
- Format of Date field is DDMMYYYY.
- If a field is blank i.e. optional it can be entered as blank as <Ref></Ref> or the tag may not be present. Such a field will be ignored as an empty tag.

Upload ID : **18**

File Name : <Upload ID><Six Digit DP ID>.<Business Date>.<3, 4, and 5 digit running serial number>

Sample File Name:

For DP : 18021200.18042015.123

For CM /BO : 181304140000001234.18042015.123

Header Record

Input Type : **M**andatory [M] / **O**ptional [O]

Header record will contain:

| Field Description | Field Type | Field Length | Input Type |
|----------------------|------------|--------------|------------|
| DP ID | Number | 6 | M |
| Operator ID | Char | 6 | M |
| Total No. of Records | Number | 6 | M |
| File Extension | Number | 3 / 4 / 5 | M |
| Business Date | Date | 8 | M |

Sample Record Format:

021200DPADM 00000112318042015

Detail Record: Demat Upload

| Field Description | Field Type | Field Length | Input Type | Start XML Tag | End XML Tag |
|----------------------------------|------------|--------------|---|----------------|-----------------|
| Transaction Type Code | Number | 2 | M [Value = 1] | <Tp> | </Tp> |
| BO ID | Char | 16 | M | <Bnfcry> | </Bnfcry> |
| ISIN | Char | 12 | M | <ISIN> | </ISIN> |
| Quantity | Number | 16,3 | M | <Qty> | </Qty> |
| DRF Number | Char | 16 | M | <Drf> | </Drf> |
| Number of Certificates | Number | 5 | M | <Pg> | </Pg> |
| Dispatch Document ID | Char | 20 | M | <Dspchid> | </Dspchid> |
| Dispatch Name | Char | 30 | M | <Dspchnm > | </Dspchnm > |
| Dispatch Date | Date | 8 | M | <Dspchdt > | </Dspchdt > |
| Lock-in Status | Char | 1 | M • N – Free • Y – Lock-in | <Lcksts> | </Lcksts> |
| Lock-in Code | Number | 2 | O [Mandatory, if Lock-in Status = 'Y'] | <Lckcd> | </Lckcd> |
| Lock-in Remark | Char | 50 | O [Mandatory, if Lock-in Status = 'Y'] | <Lckrem> | </Lckrem> |
| Lock-in Expiry Date | Date | 8 | O [Mandatory, if Lock-in Status = 'Y'] | <Lckexpdt > | </Lckexpdt > |
| Request Received Date From BO | Date | 14 | M DDMMYYYY Y Or DDMMYYYY YHH24MIS S | <Rcvdt> | </Rcvdt> |
| No of Ranges | Number | 4 | M | <Ranges> | </Ranges> |
| Document Type | Number | 2 | O | <DocTyp> | </DocTyp> |

Possible values for Document Type are:

| Document Type | Document Type Description |
|---------------|---|
| 01 | Copy of Passport |
| 02 | Copy of legally recognized marriage certificate |
| 03 | Copy of gazette notification regarding change in name |
| 04 | Copy of Aadhar Card |
| 05 | ROM Changes static data – Transmission |
| 06 | ROM Changes static data – Transposition |
| 07 | ROM Changes static data - Transfer case |
| 08 | Minor Name Mismatch |

Following Fields are optional, If ISIN is listed on nation-wide stock exchange then following fields are mandatory.

| Field Description | Field Type | Field Length | Input Type | Start XML Tag | End XML Tag |
|-------------------------|------------|--------------|---------------------------------------|---------------|-------------|
| Record Number | Number | 2 | M | <Rngs> | </Rngs> |
| Folio No | Char | 16 | O [Mandatory for Listed Companies] | <Folio> | </Folio> |
| Certificate Number From | Char | 10 | O [Mandatory for Listed Companies] | <CertFrm> | </CertFrm > |
| Certificate Number To | Char | 10 | O [Mandatory for Listed Companies] | <CertTo> | </CertTo> |
| Distinctive Number From | Number | 18 | O [Mandatory for Listed Companies] | <DNFrm> | </DNFrm> |
| Distinctive Number To | Number | 18 | O [Mandatory for Listed Companies] | <DNTo> | </DNTo> |

Detail Record: Normal Pay-in [i.e. BO Obligation] Upload

| Field Description | Field Type | Field Length | Input Type | Start XML Tag | End XML Tag |
|--|------------|--------------|--|---------------|-------------|
| Transaction Type Code | Number | 2 | M [Value = 3] | <Tp> | </Tp> |
| Unique Serial Number | Number | 8 | M | <Usn> | </Usn> |
| Depository ID | Number | 2 | M | <Dpstry> | </Dpstry> |
| CH ID | Number | 2 | M | <Clr> | </Clr> |
| Exchange ID | Number | 2 | M | <Xchg> | </Xchg> |
| Settlement ID | Char | 13 | M | <Stlm> | </Stlm> |
| DP ID | Number | 6 | M | <Ptcpt> | </Ptcpt> |
| CM ID | Char | 8 | M | <Mmb> | </Mmb> |
| BO ID | Char | 16 | M | <Bnfcry> | </Bnfcry> |
| ISIN | Char | 12 | M | <ISIN> | </ISIN> |
| Quantity | Number | 16,3 | M | <Qty> | </Qty> |
| Buy / Sell Flag | Char | 1 | M • B – Buy • S – Sell | <Flg> | </Flg> |
| Internal Reference Number | Char | 16 | O | <Ref> | </Ref> |
| Authentication Reference Number [Applicable, if transaction is through Easiest] | Number | 8 | O | <Arf> | </Arf> |
| Transaction electronic flag | Char | 1 | O D – Pre Trade eDIS E – Post trade eDIS F – Offmarket eDIS G – DDPI DIS Y – Electronic DIS N – Physical DIS | <Txnelfg> | </Txnelfg> |
| Master POA ID | Char | 16 | O | <Poa> | </Poa> |
| DIS | Char | 16 | O | <Dis> | </Dis> |
| Maker Operator ID | Char | 12 | O | <Mkropid> | </Mkropid> |
| Checker Operator ID | Char | 12 | O | <Ckropid> | </Ckropid> |

| | | | | | |
|-----------------------|--------|----|--|-------------|--------------|
| Verifier Operator ID | Char | 12 | O | <Vfropid> | </Vfropid> |
| Entity Identifier | Char | 2 | M “CP” for custodian transaction. “TM” for other than custodian transaction | <Entldntfr> | </Entldntfr> |
| UCC | Char | 11 | M Mandatory for Entity identifier as “TM” | <Ucc> | </Ucc> |
| Segment ID | Char | 2 | M | <Seg> | </Seg> |
| UCC CMID | Char | 16 | M | <Ucmid> | </Ucmid> |
| UCC TM Code / CP Code | Char | 12 | M If entity identifier as “CP”, CP Code will be provided, and if Entity identifier as “TM”, UCC TM Code to be provided. | <Tm> | </Tm> |
| UCC EXID | Number | 2 | M | <Uexid> | </Uexid> |

Detail Record: Inter-Depository Upload

| Field Description | Field Type | Field Length | Input Type | Start XML Tag | End XML Tag |
|--|------------|--------------|---|---------------|--------------|
| Transaction Type Code | Number | 2 | M [value = 4] | <Tp> | </Tp> |
| Unique Serial Number | Number | 8 | M | <Usn> | </Usn> |
| Execution Date | Date | 8 | M | <Dt> | </Dt> |
| CDSL BO ID | Char | 16 | M | <Bnfcry> | </Bnfcry> |
| ISIN | Char | 12 | M | <ISIN> | </ISIN> |
| Quantity | Number | 16,3 | M | <Qty> | </Qty> |
| Buy / Sell Flag | Char | 1 | M • B – Buy • S – Sell | <Flg> | </Flg> |
| Cash Transfer | Char | 1 | M • Y – Yes • X – Not Required | <Trf> | </Trf> |
| NSDL Client ID | Char | 8 | O | <Clnt> | </Clnt> |
| NSDL CMBP ID / DPID | Char | 8 | M | <Brkr> | </Brkr> |
| NSDL Settlement ID | Char | 13 | O | <Sttlm> | </Sttlm> |
| Internal Reference Number | Char | 16 | O | <Ref> | </Ref> |
| CDSL Settlement ID | Char | 13 | O | <CntrSttlm> | </CntrSttlm> |
| Trade Reason | Number | 2 | O | <Rsn> | </Rsn> |
| Authentication Reference Number [Applicable, if transaction is through Easiest] | Number | 8 | O | <Arf> | </Arf> |
| Transaction electronic flag | Char | 1 | O D – Pre Trade eDIS E – Post trade eDIS F – Offmarket eDIS G – DDPI DIS Y – Electronic DIS N – Physical DIS | <Txneflg> | </Txneflg> |
| Master POA ID | Char | 16 | O | <Poa> | </Poa> |
| DIS | Char | 16 | O | <Dis> | </Dis> |

| | | | | | |
|--|--------|------|---|-------------|--------------|
| Maker Operator ID | Char | 12 | O | <Mkropid> | </Mkropid> |
| Checker Operator ID | Char | 12 | O | <Ckropid> | </Ckropid> |
| Verifier Operator ID | Char | 12 | O | <Vfropid> | </Vfropid> |
| Consideration Amount [Mandatory for BO to BO transaction] | Number | 16,3 | O | <Conamt> | </Conamt> |
| Remark [Mandatory for reason code 6] | Char | 100 | O | <Remk> | </Remk> |
| Payment Mode * | Number | 1 | O | <Paymod> | </Paymod> |
| Bank Account No | Char | 35 | O | <Bnkno> | </ Bnkno> |
| Bank Name | Char | 100 | O | <Bnkname> | </ Bnkname> |
| Branch Name | Char | 100 | O | <Brchnam e> | </Brchnam e> |
| Transferee Name | Char | 150 | O Mandatory if Payment mode is 1 | <Xfername> | </Xfername > |
| Date of Issue | Date | 8 | O Cheque Issue date or date of electronic transfer | <Xferdt> | </Xferdt> |
| Cheque./Reference No | Char | 22 | O | <Chqrefno> | </Chqrefno> |
| Early Payin Identifier | Char | 1 | Y = Early payin through Interdepository N = Non Early Payin transaction (to be used subsequently under intimation) | <EPidntfr> | </EPidntfr> |
| Entity Identifier | Char | 2 | M "CP" for custodian transaction. "TM" for other than custodian transaction. Optional for Buy transactions | <Entldntfr> | </Entldntfr> |
| UCC | Char | 11 | M Optional for Buy transactions | <Ucc> | </Ucc> |

| | | | | | |
|--|--------|----|---|-----------------|------------------|
| Segment ID | Char | 2 | M Optional for Buy transactions | <Seg> | </Seg> |
| UCC CMID | Char | 16 | M Optional for Buy transactions | <Ucmid> | </Ucmid> |
| UCC TM Code/CP Code | Char | 12 | M If entity identifier as “CP”, CP Code will be provided, and if Entity identifier as “TM”, UCC TM Code to be provided. Optional for Buy transactions | <Tm> | </Tm> |
| UCC EXID | Number | 2 | M Optional for Buy transactions | <Uexid> | </Uexid> |
| CUSPA TRANSACTION FLAG | Char | 1 | O Mandatory only for CUSPA Txn Possible Values: Y – Yes N – No | <CuspaTxn > | </CuspaTxn > |
| CUSPA ACCOUNT NSDL client ID | Char | 8 | Mandatory for CUSPA TXN flag as “Y” | <CuspaCInt > | </CuspaCInt > |
| CUSPA ACCOUNT NSDL CMBP ID/DP ID | Char | 8 | Mandatory for CUSPA TXN flag as “Y” | <CuspaCM BP> | </CuspaCM BP> |

Note *

1. Cheque Payment
2. Electronic Payment
3. Cash Payment (Mandatory if Consideration Amt captured)
4. UCC is mandatory for CUSPA transfer

Detail Record: Transaction [Off / On – Market] Upload

| Field Description | Field Type | Field Length | Input Type | Start XML Tag | End XML Tag |
|---|------------|--------------|--|---------------|--------------|
| Transaction Type Code | Number | 2 | M [Value = 5] | <Tp> | </Tp> |
| Unique Serial Number | Number | 8 | M | <Usn> | </Usn> |
| Execution Date | Date | 8 | M | <Dt> | </Dt> |
| BO ID | Char | 16 | M | <Bnfcry> | </Bnfcry> |
| Counter BO ID | Char | 16 | M | <CtrPty> | </CtrPty> |
| ISIN | Char | 12 | M | <ISIN> | </ISIN> |
| Quantity | Number | 16,3 | M | <Qty> | </Qty> |
| Buy / Sell Flag | Char | 1 | M • B – Buy • S – Sell | <Flg> | </Flg> |
| Cash Transfer | Char | 1 | M • Y – Yes • X – Not Required | <Trf> | </Trf> |
| Trade Reason | Number | 2 | O | <Rsn> | </Rsn> |
| Internal Reference Number | Char | 16 | O | <Ref> | </Ref> |
| Settlement ID | Char | 13 | O | <Sttlm> | </Sttlm> |
| Counter Settlement ID | Char | 13 | O | <CntrSttlm> | </CntrSttlm> |
| Authentication Reference Number [Applicable, if transaction is through Easiest] | Number | 8 | O | <Arf> | </Arf> |
| Transaction electronic flag | Char | 1 | O D – Pre Trade eDIS E – Post trade eDIS F – Offmarket eDIS G – DDPI DIS Y – Electronic DIS N – Physical DIS | <Txnelflg> | </Txnelflg> |
| Master POA ID | Char | 16 | O | <Poa> | </Poa> |
| DIS | Char | 16 | O | <Dis> | </Dis> |
| Maker Operator ID | Char | 12 | O | <Mkropid> | </Mkropid> |
| Checker Operator ID | Char | 12 | O | <Ckropid> | </Ckropid> |
| Verifier Operator ID | Char | 12 | O | <Vfropid> | </Vfropid> |

| | | | | | |
|--|--------|------|---|-------------|--------------|
| Consideration Amount [Mandatory for BO to BO transaction] | Number | 16,3 | O | <Conamt> | </Conamt> |
| Remark [Mandatory for reason code 6] | Char | 100 | O | <Remk> | </Remk> |
| Payment Mode* | Number | 1 | O | <Paymod> | </Paymod> |
| Bank Account No | Char | 35 | O | <Bnkno> | </Bnkno> |
| Bank Name | Char | 100 | O | <Bnkname> | </Bnkname> |
| Branch Name | Char | 100 | O | <Brchname> | </Brchname> |
| Transferee Name | Char | 150 | O Mandatory if Payment mode is 1 | <Xfername> | </Xfername> |
| Date of Issue | Date | 8 | O Cheque Issue date or date of electronic transfer | <Xferdt> | </Xferdt> |
| Cheque/Reference No | Char | 22 | O | <Chqrefno> | </Chqrefno> |
| Entity Identifier | Char | 2 | M "CP" for custodian transaction. "TM" for other than custodian transaction Optional for Buy transactions | <Entldntfr> | </Entldntfr> |
| UCC | Char | 11 | M Optional for Buy transactions | <Ucc> | </Ucc> |
| Segment ID | Char | 2 | M Optional for Buy transactions | <Seg> | </Seg> |
| UCC CMID | Char | 16 | M Optional for Buy transactions | <Ucmid> | </Ucmid> |
| UCC TM Code/CP Code | Char | 12 | M If entity identifier as "CP", CP Code will be provided, and if Entity identifier as "TM", UCC TM Code to be provided. Optional for Buy transactions | <Tm> | </Tm> |
| UCC EXID | Number | 2 | M Optional for Buy transactions | <Uexid> | </Uexid> |

| | | | | | |
|------------------------|------|----|--|-------------|--------------|
| CUSPA TRANSACTION FLAG | Char | 1 | <p style="text-align: center;">O</p> Mandatory only for CUSPA Txn Possible Values: Y – Yes N – No | <CuspaTxn > | </CuspaTxn > |
| CUSPA ACCOUNT | Char | 16 | Mandatory for CUSPA TXN flag as “Y” | <CuspaID> | </CuspaID> |

Note:

1. Cheque Payment
2. Electronic Payment
3. Cash Payment (Mandatory if Consideration Amt captured)
4. UCC is mandatory for CUSPA transfer

Detail Record: Pledge Upload

| Field Description | Field Type | Field Length | Input Type | Start XML Tag | End XML Tag |
|----------------------------|------------|---------------------|--|---------------|--------------|
| Transaction Type Code | Number | 2 | M [Value = 7] | <Tp> | </Tp> |
| Unique Serial Number | Number | 8 | M | <Usn> | </Usn> |
| Pledge Type | Char | 1 | M [Value = P] | <Pldgtp> | </Pldgtp> |
| Pledge Sub Type | Char | 1 | M <ul style="list-style-type: none"> • S – Setup • A – Accept • R – Reject • C – Cancel by Pledgor • E – Reversal by Pledgee • M – Modify | <Subtp> | </Subtp> |
| Free / Lock-in Flag | Char | 1 | O <ul style="list-style-type: none"> • F – Free • L – Lockin [Applicable if Pledge Sub Type is 'S'] | <Lcksts> | </Lcksts> |
| Lock-in ID | Number | 16 | O [Applicable if Pledge Sub Type is 'S' and Free / Lock-in Flag is 'L'] | <Lckid> | </Lckid> |
| Pledge Request Form Number | Char | 16 | O [Applicable and Mandatory if Pledge Sub Type is 'S'] | <Prf> | </Prf> |
| Pledgor BO ID | Char | 16 | M | <Bnfcry> | </Bnfcry> |
| Pledgee BO ID | Char | 16 | M | <Ctrpty> | </Ctrpty> |
| ISIN | Char | 12 | M | <ISIN> | </ISIN> |
| Quantity | Number | 16,3 | M [Applicable if Pledge Sub Type is 'S' or 'A' or 'R' or 'C' or 'E'] | <Qty> | </Qty> |
| Value | Number | 15,2 | O [Applicable if Pledge Sub Type is 'S'] | <Val> | </Val> |
| Pledge Expiry Date | Date | 8 [DDMMYY YY] | O [Applicable if Pledge Sub Type is 'S' or 'M'] | <Xpry> | </Xpry> |
| Pledgee Internal Reference | Char | 16 | O [Applicable if Pledge Sub Type is 'A' or 'R' (direct transactions)] | <Ctrptyref> | </Ctrptyref> |

| Field Description | Field Type | Field Length | Input Type | Start XML Tag | End XML Tag |
|-------------------------------|------------|--------------|---|---------------|----------------|
| Pledgor Internal Reference | Char | 16 | O [Applicable if Pledge Sub Type is 'S' (direct transactions)] | <Ref> | </Ref> |
| Agreement Number | Char | 20 | O [Applicable if Pledge Sub Type is 'S' or 'M' (direct transactions)] | <Agrmt> | </Agrmt> |
| Remarks | Char | 100 | O [Applicable if Pledge Sub Type is 'S' or 'A' or 'R' (direct transactions)] | <Remk> | </Remk> |
| Pledge Sequence Number | Number | 10 | [Will be null for Pledge Type 'P' and Pledge Sub Type 'S' (for newly setup Pledge) else mandatory] | <Psn> | </Psn> |
| Execution Date | Date | 8 | O Will be valid for Pledge Type 'P' and Pledge Sub Type 'S'. In DDMMYYYY format | <Excdt> | </Excdt> |
| Request Received Date From BO | Date | 14 | M DDMMYYYY Or DDMMYYYYHH24MISS | <Rcvdt> | </Rcvdt> |
| Pledge Identifier | Char | 2 | O Will be valid for Pledge Type 'P' and pledge Sub type 'S' Possible Values MP – Margin Pledge MR – Margin Repledge | <Pldgidntfr > | </Pldgidntfr > |
| Exchange ID | Number | 2 | M / O Mandatory only if Pledge Identifier present | <Xchg> | </Xchg> |
| UCC Details | Char | 11 | M / O Mandatory only if Pledge Identifier present | <Ucc> | </Ucc> |

| Field Description | Field Type | Field Length | Input Type | Start XML Tag | End XML Tag |
|-------------------------------|------------|--------------|---|---------------|--------------|
| Segment ID | Char | 2 | M / O Mandatory only if Pledge Identifier present CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – All Segments | <Seg> | </Seg> |
| CC ID | Number | 2 | M / O Mandatory only if Pledge Identifier present | <Clr> | </Clr> |
| CM ID | Char | 8 | M / O Mandatory only if Pledge Identifier present | <Mmb> | </Mmb> |
| TM ID /CP ID | Char | 12 | M / O Mandatory only if Pledge Identifier present If TM ID is provided, Entity Identifier will be TM. If CP ID is provided, Entity Identifier will be CP | <Tm> | </Tm> |
| Entity Identifier | Char | 2 | M / O Mandatory only if Pledge Identifier present TM- TM ID CP- CP ID | <EntIdntfr> | </EntIdntfr> |
| Margin Pledge Sequence Number | Number | 10 | M / O Mandatory only if Pledge Identifier is MR – Margin Repledge | <MarPsn> | </MarPsn> |
| Pledge Reason Code | Number | 1 | M | <Rsn> | </Rsn> |
| Master POA ID | Char | 16 | O | <Poa> | </Poa> |

Detail Record: Un-pledge Upload

| Field Description | Field Type | Field Length | Input Type | Start XML Tag | End XML Tag |
|----------------------------|------------|--------------|--|---------------|--------------|
| Transaction Type Code | Number | 2 | M [Value = 7] | <Tp> | </Tp> |
| Unique Serial Number | Number | 8 | M | <Usn> | </Usn> |
| Pledge Type | Char | 1 | M [Value = U] | <Pldgtp> | </Pldgtp> |
| Pledge Sub Type | Char | 1 | M <ul style="list-style-type: none"> • S – Setup • A – Accept • R – Reject • C – Cancel by Pledgor • E – Reversal by Pledgee (In case of indirect transaction) | <Subtp> | </Subtp> |
| Pledge Sequence Number | Number | 10 | M | <Psn> | </Psn> |
| Pledgor BO ID | Char | 16 | M | <Bnfcry> | </Bnfcry> |
| Pledgee BO ID | Char | 16 | M | <Ctrpty> | </Ctrpty> |
| ISIN | Char | 12 | M | <ISIN> | </ISIN> |
| Pledgee Internal Reference | Char | 16 | O [Applicable if Pledge Sub Type is 'A' or 'R' (direct transactions)] | <Ctrptyref> | </Ctrptyref> |
| Pledgor Internal Reference | Char | 16 | O [Applicable if Pledge Sub Type is 'S' (direct transactions)] | <Ref> | </Ref> |
| Part Counter | Number | 4 | O [Applicable if Sub Type is 'A' or 'R' or 'E' or 'C']. | <Cntr> | </Cntr> |
| Part Quantity | Number | 16,3 | O [Applicable if Pledge Sub Type is 'S' or 'C' or 'A' or 'R' or 'E'] | <Prtqty> | </Prtqty> |
| Remarks | Char | 100 | O [Applicable if Pledge Sub Type is 'S' or 'A' or 'R' (direct transactions)] | <Remk> | </Remk> |
| Execution Date | Date | 8 | O Will be valid for Pledge Type 'P' and Pledge Sub Type 'S'. In DDMMYYYY format | <Excdt> | </Excdt> |

| Field Description | Field Type | Field Length | Input Type | Start XML Tag | End XML Tag |
|-------------------------------|------------|--------------|---|---------------|-------------|
| Request Received Date From BO | Date | 14 | M DDMMYYYY Or DDMMYYYYHH24MIS S | <Rcvdt> | </Rcvdt> |

Detail Record: Confiscation Upload

| Field Description | Field Type | Field Length | Input Type | Start XML Tag | End XML Tag |
|-------------------------------|------------|--------------|--|---------------|--------------|
| Transaction Type Code | Number | 2 | M [Value = 7] | <Tp> | </Tp> |
| Unique Serial Number | Number | 8 | M | <Usn> | </Usn> |
| Pledge Type | Char | 1 | M [Value = C] | <Pldgtp> | </Pldgtp> |
| Pledge Sub Type | Char | 1 | M • S – Setup • E – Reversal by Pledgee (In case of indirect transaction) | <Subtp> | </Subtp> |
| Pledge Sequence Number | Number | 10 | M | <Psn> | </Psn> |
| Pledgor BO ID | Char | 16 | M | <Bnfcry> | </Bnfcry> |
| Pledgee BO ID | Char | 16 | M | <Ctrpty> | </Ctrpty> |
| ISIN | Char | 12 | M | <ISIN> | </ISIN> |
| Pledgee Internal Reference | Char | 16 | O [Applicable if Pledge Sub Type is 'S' (direct transactions)] | <Ctrptyref> | </Ctrptyref> |
| Part Counter | Number | 4 | O [Not applicable in case of direct transaction] | <Cntr> | </Cntr> |
| Part Quantity | Number | 16,3 | O [Applicable if Pledge Sub Type is 'S' or 'E'] | <Prtqty> | </Prtqty> |
| Remarks | Char | 100 | O [Applicable if Pledge Sub Type is 'S' (direct transactions)] | <Remk> | </Remk> |
| Execution Date | Date | 8 | O Will be valid for Pledge Type 'P' and Pledge Sub Type 'S'. In DDMMYYYY format | <Excdt> | </Excdt> |
| Request Received Date From BO | Date | 14 | M DDMMYYYY Or DDMMYYYYHH24MIS S | <Rcvdt> | </Rcvdt> |

| Field Description | Field Type | Field Length | Input Type | Start XML Tag | End XML Tag |
|----------------------------|------------|--------------|---|---------------|-------------|
| Pledge Confiscation Amount | Number | 16,3 | M [Applicable if Pledge Type is 'C' and Pledge Sub Type is 'S'] | <Invamt> | </Invamt> |
| CUSPA TRANSACTION FLAG | Char | 1 | O Mandatory only for CUSPA Txn Possible Values: Y – Yes N – No | <CuspaTxn> | </CuspaTxn> |
| Transaction Category | Char | 1 | M Mandatory for CUSPA transaction flag is "Y" D – Within Depository N – Across Depository | <Ctgr> | </Ctgr> |
| Early Payin Account | Char | 16 | M Mandatory for within Depository Blank for Across Depository | <EPAcct> | </EPAcct> |
| Settlement ID | Char | 13 | M Mandatory for CUSPA Transaction flag = "Y" | <Sttlm> | </Sttlm> |
| NSDL CMBP ID / DPID | Char | 8 | M Mandatory for Across Depository Blank for within CDSL | <Brkr> | </Brkr> |
| Exchange ID | Number | 2 | M / O Mandatory for CUSPA transaction and Transaction category is "N" | <Xchg> | </Xchg> |
| UCC Details | Char | 11 | M / O Mandatory for CUSPA transaction and Transaction category is "N" | <Ucc> | </Ucc> |
| Segment ID | Char | 2 | M / O Mandatory for CUSPA transaction and Transaction category is "N" CM – Cash segment FO – Equity Derivatives Segment CD- Currency Derivative Segment DT – Debt Segment CO – Commodity Derivatives Segment SB – SLB Segment AL – All Segments | <Seg> | </Seg> |

| Field Description | Field Type | Field Length | Input Type | Start XML Tag | End XML Tag |
|-------------------|------------|--------------|--|---------------|--------------|
| CC ID | Number | 2 | M / O Mandatory for CUSPA transaction and Transaction category is "N" | <Clr> | </Clr> |
| CM ID | Char | 8 | M / O Mandatory for CUSPA transaction and Transaction category is "N" | <Mmb> | </Mmb> |
| TM ID /CP ID | Char | 12 | M / O Mandatory for CUSPA transaction and Transaction category is "N" If TM ID is provided, Entity Identifier will be TM. If CP ID is provided, Entity Identifier will be CP | <Tm> | </Tm> |
| Entity Identifier | Char | 2 | M / O Mandatory for CUSPA transaction and Transaction category is "N" TM- TM ID CP- CP ID | <Entldntfr> | </Entldntfr> |

Detail Record: Auto Un-pledge Upload

| Field Description | Field Type | Field Length | Input Type | Start XML Tag | End XML Tag |
|-------------------------------|------------|--------------|--|---------------|--------------|
| Transaction Type Code | Number | 2 | M [Value = 7] | <Tp> | </Tp> |
| Unique Serial Number | Number | 8 | M | <Usn> | </Usn> |
| Pledge Type | Char | 1 | M [Value = A] | <Pldgtp> | </Pldgtp> |
| Pledge Sub Type | Char | 1 | M • S – Setup • E – Reversal by Pledgee (for indirect transaction) | <Subtp> | </Subtp> |
| Pledge Sequence Number | Number | 10 | M | <Psn> | </Psn> |
| Pledgor BO ID | Char | 16 | M | <Bnfcry> | </Bnfcry> |
| Pledgee BO ID | Char | 16 | M | <Ctrpty> | </Ctrpty> |
| ISIN | Char | 12 | M | <ISIN> | </ISIN> |
| Pledgee Internal Reference | Char | 16 | O [Applicable if Pledge Sub Type is 'S' (direct transactions)] | <Ctrptyref> | </Ctrptyref> |
| Part Counter | Number | 4 | O [Not applicable in case of direct transaction] | <Cntr> | </Cntr> |
| Part Quantity | Number | 16,3 | O [Applicable if Pledge Sub Type is 'S' or 'E'] | <Prtqty> | </Prtqty> |
| Remarks | Char | 100 | O [Applicable if Pledge Sub Type is 'S' (direct transactions)] | <Remk> | </Remk> |
| Execution Date | Date | 8 | O Will be valid for Pledge Type 'P' and Pledge Sub Type 'S'. In DDMMYYYY format | <Excdt> | </Excdt> |
| Request Received Date From BO | Date | 14 | M DDMMYYYY Or DDMMYYYYHH24MIS S | <Rcvdt> | </Rcvdt> |

Detail Record: Early Pay-in Upload

| Field Description | Field Type | Field Length | Input Type | Start XML Tag | End XML Tag |
|--|------------|--------------|--|---------------|-------------|
| Transaction Type Code | Number | 2 | M [Value = 10] | <Tp> | </Tp> |
| Unique Serial Number | Number | 8 | M | <Usn> | </Usn> |
| Exchange ID | Number | 2 | M | <Xchg> | </Xchg> |
| CH ID | Number | 2 | M | <Clr> | </Clr> |
| CM ID | Char | 8 | M | <Mmb> | </Mmb> |
| Settlement ID | Char | 13 | M | <Sttlm> | </Sttlm> |
| From BO ID | Char | 16 | M | <Bnfcry> | </Bnfcry> |
| ISIN | Char | 12 | M | <ISIN> | </ISIN> |
| Quantity | Number | 16,3 | M | <Qty> | </Qty> |
| Counter BO ID | Char | 16 | M | <CtrPty> | </CtrPty> |
| Internal Reference Number | Char | 16 | O | <Ref> | </Ref> |
| Business Date | Date | 8 | M | <Dt> | </Dt> |
| Authentication Reference Number [Applicable, if transaction is through Easiest] | Number | 8 | O | <Arf> | </Arf> |
| Transaction electronic flag | Char | 1 | O D – Pre Trade eDIS E – Post trade eDIS F – Offmarket eDIS G – DDPI DIS Y – Electronic DIS N – Physical DIS | <Txnelflg> | </Txnelflg> |
| Master POA ID | Char | 16 | O | <Poa> | </Poa> |
| DIS | Char | 16 | O | <Dis> | </Dis> |
| Maker Operator ID | Char | 12 | O | <Mkropid> | </Mkropid> |
| Checker Operator ID | Char | 12 | O | <Ckropid> | </Ckropid> |
| Verifier Operator ID | Char | 12 | O | <Vfropid> | </Vfropid> |

| | | | | | |
|------------------------|--------|----|---|-------------|--------------|
| Entity Identifier | Char | 2 | M “CP” for custodian transaction. “TM” for other than custodian transaction | <Entldntfr> | </Entldntfr> |
| UCC | Char | 11 | M | <Ucc> | </Ucc> |
| Segment ID | Char | 2 | M | <Seg> | </Seg> |
| UCC CMID | Char | 16 | M | <Ucmid> | </Ucmid> |
| UCC TM Code/CP Code | Char | 12 | M If entity identifier as “CP”, CP Code will be provided, and if Entity identifier as “TM”, UCC TM Code to be provided. | <Tm> | </Tm> |
| UCC EXID | Number | 2 | M | <Uexid> | </Uexid> |

Detail Record: BO Level Freeze Upload

| Field Description | Field Type | Field Length | Input Type | Start XML Tag | End XML Tag |
|----------------------------------|------------|--------------|--|---------------|-------------|
| Transaction Type Code | Number | 2 | M [Value = 12] | <Tp> | </Tp> |
| Unique Serial Number | Number | 8 | M | <Usn> | </Usn> |
| Freeze Type | Char | 1 | M [Value = S] | <Frztp> | </Frztp> |
| Freeze Level | Char | 1 | M [Value = B] | <Lvl> | </Lvl> |
| Freeze Initiated By | Number | 1 | M • 1 – BO • 2 – CDS • 3 – DP | <Intby> | </Intby> |
| Freeze Sub Option | Number | 1 | O • 1 – Lien • 2 – Statutory Bodies [Applicable and Mandatory if Freeze Initiated By is '3' [i.e. DP] | <Subopt> | </Subopt> |
| Freeze BOID | Char | 16 | M | <Bnfcry> | </Bnfcry> |
| BO Frozen For | Char | 1 | M • 1 – Debit • 2 – Credit • 3 – Both | <Frozefer> | </Frozefer> |
| Freeze Activation Type | Number | 1 | M • 1 – Current • 2 – Future | <Actvtp> | </Actvtp> |
| Freeze Activation Date | Date | 8 | O [Applicable and Mandatory if Freeze Activation Type is '2'] | <Actvdt> | </Actvdt> |
| Freeze Expiry Date | Date | 8 | O | <Expdt> | </Expdt> |
| Freeze Reason Code | Number | 2 | M [Refer Note – 1] | <Rsn> | </Rsn> |
| Freeze Internal Reference Number | Char | 16 | O | <Ref> | </Ref> |
| Freeze Remarks | Char | 100 | O | <Remk> | </Remk> |
| Request Received Date From BO | Date | 14 | M DDMMYYYY Or DDMMYYYY HH24MISS | <Rcvdt> | </Rcvdt> |

Note – 1

| Sr. No. | Freeze Reason Code | Freeze Reason Code Description | Sr. No. | Freeze Reason Code | Freeze Reason Code Description |
|---------|--------------------|-------------------------------------|---------|--------------------|---|
| 1 | 1 | Beneficial Owner | 17 | 18 | CORPORATE ACTION FREEZE |
| 2 | 2 | ITO Attachment | 18 | 19 | FATCA NonCompliance(under ITRule 114H(8)) |
| 3 | 3 | SEBI Directive | 19 | 20 | KYC deficiency reported by CDSL |
| 4 | 4 | Disinvestment & Private Deals | 20 | 21 | Mandatory 6 KYC Non Compliant Account |
| 5 | 5 | Court Order | 21 | 22 | KYC verification Non Compliant Account |
| 6 | 6 | PAN Verification Pending | 22 | 23 | Gold deposit setup |
| 7 | 7 | Sole / First Holder Deceased | 23 | 24 | SEBI SCN/Order NotDelivered/Acknowledged |
| 8 | 8 | Second Holder Deceased | 24 | 25 | Trading Window Closure Period |
| 9 | 9 | Third Holder Deceased | 25 | 26 | Account Holder Related PAN Inoperative |
| 10 | 10 | Order from Special Recovery Officer | 26 | 27 | Account holder related KYC non-compliant |
| 11 | 11 | CBI Order | 27 | 94 | NDU freeze setup |
| 12 | 12 | FIU-IND Requirement | 28 | 95 | RGESS Freeze |
| 13 | 13 | In Person Verification Pending | 29 | 96 | Restrained PAN |
| 14 | 14 | Assignment – DP Closure | 30 | 97 | Minor Attained Majority |
| 15 | 16 | Initiated By BO | 31 | 98 | PAN Not Recorded |
| 16 | 17 | Requested By BO | | | |

Detail Record: BO-ISIN Level Freeze Upload

| Field Description | Field Type | Field Length | Input Type | Start XML Tag | End XML Tag |
|------------------------|------------|--------------|--|---------------|-------------|
| Transaction Type Code | Number | 2 | M [Value = 12] | <Tp> | </Tp> |
| Unique Serial Number | Number | 8 | M | <Usn> | </Usn> |
| Freeze Type | Char | 1 | M [Value = S] | <Frztp> | </Frztp> |
| Freeze Level | Char | 1 | M [Value = I] | <Lvl> | </Lvl> |
| Freeze Initiated By | Number | 1 | M • 1 – BO • 2 – CDS • 3 – DP | <Intby> | </Intby> |
| Freeze Sub Option | Number | 1 | O • 1 – Lien • 2 – Statutory Bodies [Applicable and Mandatory if Freeze Initiated By is '3' [i.e. DP] | <Subopt> | </Subopt> |
| Freeze BOID | Char | 16 | M | <Bnfcry> | </Bnfcry> |
| ISIN | Char | 12 | M | <ISIN> | </ISIN> |
| Freeze Quantity Type | Char | 1 | M • F – Full • P – Partial | <Qtytype> | </Qtytype> |
| Freeze Quantity | Number | 16,3 | M [Applicable and Mandatory if Freeze Quantity Type is 'P'] | <Qty> | </Qty> |
| BO ISIN Frozen For | Char | 1 | M • 1 – Debit • 2 – Credit • 3 – Both | <Frozefer> | </Frozefer> |
| Freeze Activation Type | Number | 1 | M • 1 – Current • 2 – Future | <Actvtp> | </Actvtp> |
| Freeze Activation Date | Date | 8 | O [Applicable and Mandatory if Freeze Activation Type is '2'] | <Actvdt> | </Actvdt> |
| Freeze Expiry Date | Date | 8 | O | <Expdt> | </Expdt> |
| Freeze Reason Code | Number | 2 | M [Refer Note – 1] | <Rsn> | </Rsn> |

| | | | | | |
|----------------------------------|------|-----|---|---------|----------|
| Freeze Internal Reference Number | Char | 16 | O | <Ref> | </Ref> |
| Freeze Remarks | Char | 100 | O | <Remk> | </Remk> |
| Request Received Date From BO | Date | 14 | M DDMMYYYY Y Or DDMMYYYY YHH24MIS S | <Rcvdt> | </Rcvdt> |

Note – 1

| Sr. No. | Freeze Reason Code | Freeze Reason Code Description | Sr. No. | Freeze Reason Code | Freeze Reason Code Description |
|---------|--------------------|-------------------------------------|---------|--------------------|---|
| 1 | 1 | Beneficial Owner | 17 | 18 | CORPORATE ACTION FREEZE |
| 2 | 2 | ITO Attachment | 18 | 19 | FATCA NonCompliance(under ITRule 114H(8)) |
| 3 | 3 | SEBI Directive | 19 | 20 | KYC deficiency reported by CDSL |
| 4 | 4 | Disinvestment & Private Deals | 20 | 21 | Mandatory 6 KYC Non Compliant Account |
| 5 | 5 | Court Order | 21 | 22 | KYC verification Non Compliant Account |
| 6 | 6 | PAN Verification Pending | 22 | 23 | Gold deposit setup |
| 7 | 7 | Sole / First Holder Deceased | 23 | 24 | SEBI SCN/Order NotDelivered/Acknowledged |
| 8 | 8 | Second Holder Deceased | 24 | 25 | Trading Window Closure Period |
| 9 | 9 | Third Holder Deceased | 25 | 26 | Account Holder Related PAN Inoperative |
| 10 | 10 | Order from Special Recovery Officer | 26 | 27 | Account holder related KYC non-compliant |
| 11 | 11 | CBI Order | 27 | 94 | NDU freeze setup |
| 12 | 12 | FIU-IND Requirement | 28 | 95 | RCESS Freeze |
| 13 | 13 | In Person Verification Pending | 29 | 96 | Restrained PAN |
| 14 | 14 | Assignment – DP Closure | 30 | 97 | Minor Attained Majority |
| 15 | 16 | Initiated By BO | 31 | 98 | PAN Not Recorded |
| 16 | 17 | Requested By BO | | | |

Detail Record: Unfreeze Upload

| Field Description | Field Type | Field Length | Input Type | Start XML Tag | End XML Tag |
|-------------------------------|------------|--------------|---|---------------|-------------|
| Transaction Type Code | Number | 2 | M [Value = 12] | <Tp> | </Tp> |
| Unique Serial Number | Number | 8 | M | <Usn> | </Usn> |
| Freeze Type | Char | 1 | M [Value = U] | <Frztp> | </Frztp> |
| Freeze ID | Number | 8 | M | <Frzid> | </Frzid> |
| Freeze Remarks | Char | 100 | M | <Remk> | </Remk> |
| Request Received Date From BO | Date | 14 | M DDMMYYY Y Or DDMMYYY YHH24MIS S | <Rcvdt> | </Rcvdt> |

Detail Record: Destatementization Upload

| Field Description | Field Type | Field Length | Input Type | Start XML Tag | End XML Tag |
|---|------------|--------------|--|---------------|--------------|
| Transaction Type Code | Number | 2 | M [Value = 21] | <Tp> | </Tp> |
| BO ID | Char | 16 | M | <Bnfcry> | </Bnfcry> |
| ISIN | Char | 12 | M | <ISIN> | </ISIN> |
| Quantity Flag | Char | 1 | M • A – All • P – Partial | <QtyFlg> | </QtyFlg> |
| Quantity | Number | 16,3 | O [Applicable and Mandatory if, Quantity Flag is 'P'] | <Qty> | </Qty> |
| DRF Number | Char | 16 | M | <Drf> | </Drf> |
| Folio Number | Char | 16 | M | <Fol> | </Fol> |
| Internal Reference Number | Char | 16 | M | <Ref> | </Ref> |
| Number of Pages of Statement of Account [SOA] | Number | 5 | M | <Pg> | </Pg> |
| Dispatch Document ID | Char | 20 | O | <Dspchid> | </Dspchid> |
| Dispatch Name | Char | 30 | O | <Dspchnm > | </Dspchnm > |
| Dispatch Date | Date | 8 | O | <Dspchdt> | </Dspchdt> |
| Lock-in Status | Char | 1 | O • F – Free • L – Lock-in | <Lcksts> | </Lcksts> |
| Lock-in Code | Number | 2 | O [Mandatory, if Lock-in Status = 'L'] | <Lckcd> | </Lckcd> |
| Lock-in Remark | Char | 50 | O [Mandatory, if Lock-in Status = 'L'] | <Lckrem> | </Lckrem> |
| Lock-in Expiry Date | Date | 8 | O [Mandatory, if Lock-in Status = 'L'] | <Lckexpdt > | </Lckexpdt > |
| Request Received Date From BO | Date | 14 | M DDMMYYYY Or DDMMYYYY HH24MISS | <Rcvdt> | </Rcvdt> |

Detail Record: Remat Upload

| Field Description | Field Type | Field Length | Input Type | Start XML Tag | End XML Tag |
|-------------------------------|------------|--------------|--|---------------|-------------|
| Transaction Type Code | Number | 2 | M [Value = 26] | <Tp> | </Tp> |
| Remat / Restat Flag | Char | 1 | M [Value = R] | <Flg> | </Flg> |
| BO ID | Char | 16 | M | <Bnfcry> | </Bnfcry> |
| ISIN | Char | 12 | M | <ISIN> | </ISIN> |
| RRF Number | Char | 16 | M | <Ref> | </Ref> |
| Quantity | Number | 16,3 | M | <Qty> | </Qty> |
| Lot Type | Char | 1 | M • M – Market • L – Jumbo | <Lot> | </Lot> |
| Lock-in Status | Char | 1 | M • F – Free • L – Lock-in | <Lcksts> | </Lcksts> |
| Lock-in ID | Char | 16 | O [Applicable and Mandatory if Lock-in Status is 'L'] | <Lckid> | </Lckid> |
| Certificate Denomination | Number | 11,3 | O | <Cert> | </Cert> |
| DP Remark | Char | 40 | O | <Remk> | </Remk> |
| Request Received Date From BO | Date | 14 | M DDMMYYYY Or DDMMYYYY HH24MISS | <Rcvdt> | </Rcvdt> |

Detail Record: Restatementization / Redemption Upload

| Field Description | Field Type | Field Length | Input Type | Start XML Tag | End XML Tag |
|-------------------------------|------------|--------------|--|---------------|-------------|
| Transaction Type Code | Number | 2 | M [Value = 28] | <Tp> | </Tp> |
| Remat/Restat Flag | Char | 1 | M [Value = S] | <Flg> | </Flg> |
| BO ID | Char | 16 | M | <Bnfcry> | </Bnfcry> |
| ISIN | Char | 12 | M | <ISIN> | </ISIN> |
| MF-RRF Number | Char | 16 | M | <Ref> | </Ref> |
| Quantity Flag | Char | 1 | M • A – All • P – Partial • M – Amount | <QtyFlg> | </QtyFlg> |
| Quantity | Number | 16,3 | O [Applicable and Mandatory if Quantity Flag is 'P'] | <Qty> | </Qty> |
| Lock-in Status | Char | 1 | M • F – Free • L – Lock-in | <Lcksts> | </Lcksts> |
| Lock-in ID | Char | 16 | O [Applicable and Mandatory if Lock-in Status is 'L'] | <Lckid> | </Lckid> |
| DP Remark | Char | 40 | O | <Remk> | </Remk> |
| Mutual Fund Type | Char | 1 | M • S – Restat • R – Redemption | <Mftype> | </Mftype> |
| Mutual Fund Amount | Number | 15,2 | O [Applicable and Mandatory if Quantity Flag is 'M'] | <Amt> | </Amt> |
| Request Received Date From BO | Date | 14 | M DDMMYYYY Or DDMMYYYY HH24MISS | <Rcvdt> | </Rcvdt> |

Detail Record: Transfer / Transmission

| Field Description | Field Type | Field Length | Input Type | Start XML Tag | End XML Tag |
|-------------------------------|------------|--------------|---|---------------|-------------|
| Transaction Type Code | Number | 2 | M [Value = 30,31,32] | <Tp> | </Tp> |
| Transaction Identifier | Char | 1 | M [Value = O,A,M] | <Idntfr> | </Idntfr> |
| Transaction Category | Char | 1 | M | <Ctgry> | </Ctgry> |
| From BO ID | Char | 16 | M | <Bnfcry> | </ISIN> |
| Internal Reference Number | Char | 16 | M | <Ref> | </Ref> |
| Reason | Number | 3 | O | <Rsn> | </Rsn> |
| ISIN | Char | 12 | O | <ISIN> | </ISIN> |
| Quantity | Number | 16,3 | O | <Qty> | </Qty> |
| Remarks | Char | 100 | O | <Remk> | </Remk> |
| Total No of Transferee BOs | Number | 5 | O | <CntBo> | </CntBo> |
| *Transfer | | | | <Tran> | </Tran> |
| NSDL Client ID | Char | 8 | O | <Clnt> | </Clnt> |
| NSDL CM/BP ID | Char | 16 | M | <Brkr> | </Brkr> |
| Multiple Quantity | Number | 16,3 | O | <PrtQty> | </PrtQty> |
| Request Received Date From BO | Date | 14 | M DDMMYYYY Or DDMMYYYY HH24MISS | <Rcvdt> | </Rcvdt> |

Note:

- 1) ISIN, Quantity (Transferrable Qty) is required for one to many transmissions. Hence they have been kept as optional.
- 2) Reason & Remarks are required for Transfer & One to one transmission.
- 3) * For one to many transmissions multiple BOs & multiple qty can be given with multiple tags <Tran> under same record
- 4) For transfer & One to one transmission, one destination BO ID will be given.

5) Transaction type values will be for common upload :

- i. One to One Transmission --- 30
- ii. Account Transfer ---31
- iii. One to Many Transmission ---32

6) Transaction identifier values will be:

- i. One to One Transmission --- 'O'
- ii. Account Transfer --- 'A'
- iii. One to Many Transmission --- 'M'

7) Transaction Category values will be:

- i. Within CDSL --- 'D'
- ii. Across Depository --- 'N'

Note: If the transaction type is 30 in the file format, then identifier will be 'O', if 31 then 'A' and if 32 then identifier will be 'M'.

Detail Record: Delivery Instruction Slip

| Field Description | Field Type | Field Length | Input Type | Start XML Tag | End XML Tag |
|------------------------|------------|--------------|---|---------------|-----------------|
| Transaction Type Code | Number | 2 | M [Value = 39] | <Tp> | </Tp> |
| DIS Transaction Type | Number | 2 | M 1-DIS ISSUANCE 2-DIS CANCELLATION | <Distxn> | </ Distxn> |
| DEPO ID | Number | 2 | M 1 – CDSL | <Dpstry> | </Dpstry> |
| Issue entity | Char | 1 | O 'B' for BO, 'P' for POA holder (Mandatory if txn type flag is 1 Also mandatory if txn type flag is 2 and issuance flag is 'Y') | <Issenty > | </Issenty> |
| BO ID | Char | 16 | O BO ID or Master POA ID (Mandatory if txn type flag is 1 also mandatory if txn type flag is 2 and issuance flag is 'Y') | <Bnfcry> | </Bnfcry> |
| DIS ALPHA PART | Char | 4 | O | <Disalpa > | </ Disalpa > |
| DIS SERIAL NUMBER FROM | Number | 12 | M | <Disfrm> | </Disfrm> |
| DIS SERIAL NUMBER TO | Number | 12 | M | <Disto> | </Disto> |
| NUMBER OF DIS LEAVES | Number | 3 | M It should be equal to DIS To-DIS From +1(including DIS To and DIS From) | <Dislvs> | </Dislvs> |
| DIS BOOKLET NUMBER | Char | 16 | O | <Bkltno> | </Bkltno> |
| ISSUANCE FLAG | Char | 1 | M Y-Issued N-Not Issued (Only for DIS Cancellation) For DIS ISSUANCE(DIS TXN TYPE FLAG=1) it should be Y only | <Isncflg> | </Isncflg> |

| | | | | | |
|-----------------------------------|--------|-----|---|---------------|------------|
| DATE OF ISSUANCE/ CANCELLATION | Date | | M In DDMMYYYY format | <Isncdt> | </Isncdt> |
| ISSUANCE TYPE FLAG | Char | 1 | M N=Normal, L=Loose | <Isnctyp > | </Isnctyp> |
| DIS CANCELLATION FLAG | Number | 2 | O 1- Cancellation Due to Lost DIS 2- Cancellation Due to Misplaced DIS. 3- Cancellation Due To Other Reasons 4- Not Applicable. 5- Cancellation Due to Undelivered DIS. This flag is Mandatory for DIS Cancellation but DIS ISSUANCE it should be 4 or xml tag not required in file | <Discncl > | </Discncl> |
| TXN INITIATION FLAG | Number | 2 | M 1- DP Initiated 2- BO Initiated For DIS ISSUANCE (DIS TXN TYPE FLAG=1) it should be 1 only If BO INITIATED, DIS txn type flag =2 and BO ID should be mandatory and issuance flag should be Y. | <Intby> | </Intby> |
| Remarks | Char | 100 | O | <Remk> | </Remk> |
| Request Received Date From BO | Date | 14 | M DDMMYYYY Or DDMMYYYYHH24 MISS | <Rcvdt> | </Rcvdt> |



Bo Closure Upload File Format

File Name: 13<DP ID>.<3 to 5 digits running serial number>

Upload Id = 13

Control record:

(Input Type: Mandatory/ Optional)

This record will contain:

| FIELD | SIZE | INPUT TYPE |
|----------------------|------|-------------|
| DP ID | M | NUMBER(6) |
| OPERATOR ID | M | VARCHAR2(6) |
| TOTAL NO. OF RECORDS | M | NUMBER(5) |

Detail record:

Every detail record will contain single line.

| FIELD | SIZE | TRANSACTION TYPE | | | REMARKS |
|--|-----------|------------------|--------|----------|---|
| | | Setup | Cancel | Approval | |
| BOID (Unique) | CHAR(16) | M | M | M | 16 digit Valid BOID |
| Transaction Type | CHAR(1) | M | M | M | Possible Values 'S' – Setup Closure 'C' – Cancel Closure 'A' – Closure Approved by DP |
| Closure Initiated By | NUMBER(1) | M | NA | NA | Possible Values 1 – Initiated By BO 2 – Initiated By DP |
| Closure Reason Code (This is a User Defined Field Code and will automatically include new values) | NUMBER(2) | M | NA | NA | Possible Values 91 – Account Transfer. 92 – Transfer to Other DP 93 – Violation With Agreement With DP 94 – Non Payment Of Dues 95 – Violation Of Provision By BO 96 – Termination OF DP |

| | | | | | |
|---|-----------|---|----|----|---|
| | | | | | 97 – Suspension Of DP 98 – Close Because Of DP Closure 99 – User Defined Text |
| Remaining Balances | CHAR(1) | M | NA | NA | Possible Values B – Both Rematerialized And Transferred. N – Not Applicable. R – Rematerialized. T – Transfer. |
| New BO ID | CHAR(16) | O | NA | NA | If Remaining Balances value is 'B' or 'T' then BOID is Mandatory. |
| Remarks | CHAR(100) | O | NA | NA | |
| Req Int Ref No. | CHAR(16) | M | NA | NA | |
| BO REQUEST RECEIPT DATE / NOTICE SENT TO BO DATE | Date (14) | M | O | NA | Date (with time) on which request received from BO / Account closure Notice sent to BO (in case of DP initiated Closure) |
| Filler1 | CHAR(50) | O | O | O | |
| Filler2 | NUMBER(4) | O | O | O | |

| Transaction Description | BOID (Unique) | Transaction Type | Closure Initiated By | Closure Reason Code | Remaining Balances | Transfer BO ID | Remarks | Req Int Ref No. | BO REQUEST RECEIPT DATE |
|-------------------------|---------------|------------------|----------------------|---------------------|--------------------|----------------|---------|-----------------|-------------------------|
| Setup Closure | ✓ | S | ✓ | ✓ | ✓ | ○ | ○ | ✓ | ✓ |
| Cancel Closure | ✓ | C | ✗ | ✗ | ✗ | ✗ | ✗ | ✗ | ✓ |
| Approve Closure | ✓ | A | ✗ | ✗ | ✗ | ✗ | ✗ | ✗ | ✗ |

- ✓ - Compulsory
- ✗ - Not Required
- - Optional

- No field separators should be used.

- Spaces should be used in case a field does not have a value.
- All numeric fields should be right justified with zero padding
- All alphanumeric fields should be left justified with padding as spaces.