



Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/REPRT/2023/325

June 05, 2023

CONSOLIDATED ACCOUNT STATEMENT (CAS) AND DISPATCH OF TRANSACTION STATEMENTS (DOTS)

DPs are requested to refer to the circular **CDSL/OPS/DP/ POLCY/4816** dated **November 14, 2014**, with respect to the CAS and the following communiques with respect to the DOTS activity:

- **CDSL/NP/DP/STMT/3384** dated **December 21, 2012**
- **CDSL/NP/DP/STMT/4840** dated **November 24, 2014**
- **CDSL/NP/DP/STMT/5045** dated **March 04, 2015**
- **CDSL/OPS/REPRTS/DP/5813** dated **March 15, 2016**
- **CDSL/NP/DP/STMT/6018** dated **July 13, 2016**

SEBI has issued directions to both depositories through its letter dated April 28, 2023. Accordingly in scenarios where investor is having mutual fund holdings in demat form or having only demat account with no mutual fund holding SEBI directed depositories to extend CAS generation to enable investors to have a single consolidated view of all his investments in mutual fund and securities held in demat form with the depositories. DPs are advised to note that effective from transaction month May-2023, the CAS shall be generated and dispatched in the month Jun-2023 for all BOs who are maintaining demat accounts irrespective of the mutual fund holdings in Statement of Account (SOA) form subject to having transactions in demat account or under Mutual Fund (MF) folio during the month. However, it may please be noted that the details of the holdings where transactions have been executed in the respective order of holding would be provided in the CAS for the month.

Hence if an investor has holdings in the Single (Sole) and/or Joint Holding (where investor is a first holder), he will receive the CAS for all single and/or joint holdings if there have been transactions executed in at least one demat accounts OR under at least one MF folios of the investor during the transaction month for the respective single and/or joint holding.



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DPs are advised to refer to the communique **CDSL/OPS/DP/REPRT/4900 December 26, 2014** and **CDSL/OPS/DP/REPRT/5880 April 21, 2016** pertaining to the selection and indication of the CAS mode in the demat account of the investor. The requirements for the same remain unchanged with the implementation of the requirement given in these communiques.

In view of the same, pursuant to implementation of CAS generation as per the new direction, activity of generation and Dispatch Of Transaction Statements (DOTS) for the DPs registered to avail the said service would no longer be required and hence shall be discontinued (including additional communication which was hitherto sent by CDSL on behalf of DPs) effective from June-2023 for the transactions executed in the month May-2023. Hence, with the said implementation, previous requirements of providing the Inclusion / Exclusion file also are not required as all demat account holders would be receiving the transaction statements under revised CAS activity where transactions have been executed.

With the implementation of CAS generation as per the SEBI direction mentioned above, the investors who were receiving transaction statements through DOTS will now be receiving CAS and DPs would be billed as per the CAS tariff.

DPs are advised to take note of the said communique and accordingly inform their clients.

Queries regarding this communique may be addressed to: **CDSL – Helpdesk** Emails may be sent to: helpdesk@cdslindia.com and telephone number 08069144800.

Sd/-

Prashant Kokate
Asst. Vice President – Operations