



# Central Depository Services (India) Limited

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## COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/POLCY/2025/130

February 21, 2025

### SUBMISSION OF COMPLIANCE OF CLOSURE OF FINDINGS IDENTIFIED DURING VAPT

Depository Participants (DPs) are advised to refer to Communique CDSL/RISK/DP/POLCY/2024/738 December 06, 2024, regarding submission of VAPT report to CDSL in accordance with the SEBI Circular no. SEBI/HO/MIRSD/TPD/P/CIR/2022/80 dated June 07, 2022.

As per modified para 44 of the above-mentioned SEBI Circular no. SEBI/HO/MIRSD/TPD/P/CIR/2022/80 dated June 07, 2022: -

*Any gaps/vulnerabilities detected shall be remedied on immediate basis and compliance of closure of findings identified during VAPT shall be submitted to the Stock Exchanges / Depositories within 3 months post the submission of final VAPT report.*

With respect to the above provision, it may be noted that DPs are required to submit compliance of closure of findings identified during VAPT conducted by them for the financial year 2024-2025 on or before March 31, 2025. The Manual for submission of the compliance report is enclosed as **Annexure A**.

DPs are requested to take note of the above and ensure compliance.

Queries regarding this communique may be addressed to CDSL - through email on [dpinfosec@cdslindia.com](mailto:dpinfosec@cdslindia.com) or call us on 08069144800.

**For and on behalf of**  
**Central Depository Services (India) Limited**

sd/-

**Mrugen Munjpara**  
**Assistant Vice President - Information Security**



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### Annexure A

#### Step 1:

Log in Into Audit application by using the below link:-

<https://auditweb.cdslindia.com/Login.aspx>

- Now Sign in using 'Login Type-Designated officer'.
- Now enter User ID & Password and click on 'Sign In' button.

#### Step 2:

Select 'VAPT Compliance' as highlighted below.



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### Step 3:

Select the Year for which the report is to be submitted.

- a. Select 'November 2024' in 'Audit Month'

### Step 4:

Appropriate Option should be selected as per the criteria below:

- a. If there were no vulnerabilities in the initial VAPT report submitted – Select 'No open vulnerabilities/gaps/findings in the VAPT Report submitted to CDSL'.
- b. If there were open vulnerabilities in the initial VAPT report submitted and they have been closed – Select 'All the vulnerabilities/gaps/findings in the VAPT are remedied/fixed and closed'.
- c. If there were open vulnerabilities in the initial VAPT report submitted and they have not been closed yet – Select 'Vulnerabilities/gaps/findings yet to be closed'.

### Step 5:

- i. If Option 'a' or 'b' are selected in Step 4, then enter the below values in the 'Severity No.' table.

Critical	High	Medium	Low
0	0	0	0



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- ii. If Option 'c' is selected in in **Step 4**, then enter the **Number of open vulnerabilities for each of the category viz. Critical, High, Medium and Low**.

The screenshot shows the 'COMPLIANCE STATUS - VAPT COMPLIANCE REPORT' form. It includes a dropdown for 'Audit Month', text boxes for 'DP ID' and 'Schedule No.', and three checkboxes: 'No open vulnerabilities / gaps / findings in the VAPT Report submitted to CDSL', 'All the Vulnerabilities / gaps / findings in the VAPT are remedied / fixed and closed', and 'Vulnerabilities / gaps / findings yet to be closed'. The 'Severity No.' section has radio buttons for 'Critical', 'High', 'Medium', and 'Low', with an arrow pointing to the 'Medium' option. Below this, the 'Number of Open Vulnerabilities Reported to CDSL in Initial VAPT Report' section has input boxes for 'Critical', 'High', 'Medium', and 'Low'. At the bottom, there are buttons for 'Submit to CDSL', 'Back', and 'Upload'.

### Step 6:

Once the count of vulnerabilities has been entered, Enter the Number of vulnerabilities which were discovered in the initial VAPT Report submitted to CDSL in '**Number of Open Vulnerabilities Reported to CDSL in initial VAPT Report**'.

- i. If **no vulnerabilities** were discovered – Then Enter '0' for each of the Severity viz. Critical, High, Medium and Low.
- ii. If **vulnerabilities** were discovered during the initial VAPT exercise – Then Enter the number of vulnerabilities for each of the vulnerabilities viz. Critical, High, Medium and Low.



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COMPLIANCE STATUS - VAPT COMPLIANCE REPORT

Audit Month: --Select--

DP ID: [Redacted]

Schedule No: [Redacted]

No open vulnerabilities / gaps / findings in the VAPT Report submitted to CDSL.

All the Vulnerabilities / gaps / findings in the VAPT are remedied / fixed and closed

Vulnerabilities / gaps / findings yet to be closed

Severity No. Critical High Medium Low

Number of Open Vulnerabilities Reported to CDSL in Initial VAPT Report

Critical	High	Medium	Low

Upload VAPT Compliance Report

Choose File No file chosen

Submit to CDSL Back

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### Step 7:

Once the count of vulnerabilities has been entered,

- If Option 'b' is selected in **Step 4**, then upload the **Compliance Report** according to the steps mentioned in **Step 7**.
- If Option 'c' is selected in **Step 4**, then upload the **VAPT Report** which mentions the **number of open vulnerabilities along with the due date for closure of vulnerabilities** according to the steps mentioned in **Step 8**.

### Step 8:

To upload the report as per **Step 7**, follow the below steps:

- Select '**Choose files**' to select the file for uploading on AuditWeb.

COMPLIANCE STATUS - VAPT COMPLIANCE REPORT

Audit Month: --Select--

DP ID: [Redacted]

Schedule No: [Redacted]

No open vulnerabilities / gaps / findings in the VAPT Report submitted to CDSL.

All the Vulnerabilities / gaps / findings in the VAPT are remedied / fixed and closed

Vulnerabilities / gaps / findings yet to be closed

Severity No. Critical High Medium Low

Number of Open Vulnerabilities Reported to CDSL in Initial VAPT Report

Critical	High	Medium	Low

Upload VAPT Compliance Report

Choose File No file chosen

Submit to CDSL Back

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- b. Choose the files to be uploaded and click on 'Upload'.

The screenshot shows the 'COMPLIANCE STATUS - VAPT COMPLIANCE REPORT' form. It includes fields for 'Audit Month', 'DP ID', and 'Schedule No'. Below these are checkboxes for compliance status: 'No open vulnerabilities / gaps / findings in the VAPT Report submitted to CDSL', 'All the Vulnerabilities / gaps / findings in the VAPT are remedied / fixed and closed', and 'Vulnerabilities / gaps / findings yet to be closed'. There are also input fields for 'Severity No.' and 'Number of Open Vulnerabilities Reported to CDSL in Initial VAPT Report', each with radio buttons for 'Critical', 'High', 'Medium', and 'Low'. At the bottom, there is a file upload section with a 'Choose File' button, a 'No file chosen' indicator, and an 'Upload' button. An arrow points to the 'Upload' button. Below the upload section are 'Submit to CDSL' and 'Back' buttons.

- c. Once the files are uploaded, click on 'Submit to CDSL' to submit the documents.

(This step has to be mandatorily completed by the DP as it will ensure that the documents are submitted to CDSL)

**Important Note-** Once the files are 'Submitted to CDSL', the system will not allow the user to re-submit the documents, hence it is advised to carefully select the files and **upload the correct file**.

This screenshot is identical to the one above, showing the 'COMPLIANCE STATUS - VAPT COMPLIANCE REPORT' form. In this version, the 'Upload' button is no longer highlighted. Instead, the 'Submit to CDSL' button is highlighted with a blue background and an arrow points to it, indicating the next step in the process.



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### Step 9:

Once the pop-up displays '**VAPT Compliance Details Submitted to CDSL Successfully**', it means that the report has been successfully uploaded.



\* If an **error** occurs while uploading the report, request you to send an email to the below email addresses **along with the screenshot of the error**.

The subject of the email should be '**Error in submitting the VAPT Compliance Report on AuditWeb – DP ID XXXXX**' (**DPs should use their respective DP IDs instead of XXXXX**)

1. [dpinfosec@cdslindia.com](mailto:dpinfosec@cdslindia.com)
2. [helpdesk@cdslindia.com](mailto:helpdesk@cdslindia.com)

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