



Central Depository Services (India) Limited

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COMMUNIQUE TO DEPOSITORY PARTICIPANTS

CDSL/RISK/DP/POLCY/2024/738

December 06, 2024

SUBMISSION OF ANNUAL VAPT REPORT

Depository Participants (DPs) are advised to refer to the communique **CDSL/AUDIT/DP/POLICY/2023/58** dated January 25, 2023, advising the DPs that:

VAPT shall be carried out and completed during the period from September to November of every financial year and the final VAPT report shall be submitted to the CDSL within **one month** from the date of completion of VAPT, after approval from Technology Committee of respective DPs in terms of SEBI Circular no. **SEBI/HO/MIRSD/TPD/P/CIR/2022/80** dated June 07, 2022

Accordingly, DPs are advised to submit the final report on VAPT carried out for the current financial year (FY 2024-25) by a **CERT-In** empaneled entity. This report shall be uploaded on Audit Web Portal on <https://auditweb.cdslindia.com> by **December 31, 2024**, in **pdf format**.

The Manual for submission of the report is enclosed as **Annexure A**.

The scope (not limited to) for conducting Vulnerability Assessment and Penetration Testing (VAPT) will include the following activities:

- Grey box assessment of web applications, mobile applications, APIs & thick client application.
- Authenticated (wherever possible) vulnerability assessment of infrastructure (operating systems, databases & middleware, endpoint device, network device, security devices and cloud).
- External penetration testing of all public facing URL/IPs.
- Review of network architecture of critical infrastructure.
- Firewall rule review.
- Configuration audit of infrastructure (operating systems, databases & middleware, endpoint devices, network devices, security device and cloud).
- Wireless penetration testing.

Depository Participants are required to address all vulnerabilities identified in the initial VAPT report and conduct a revalidation assessment. Compliance regarding the resolution of findings from the VAPT must be submitted on Audit Web within three months following the due date of submission of the initial VAPT report. Additionally, the revalidation report certified by a CERT-In empaneled entity must be submitted on Audit Web no later than March 31, 2025.

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It is important to note that failing to submit the VAPT report within the specified timelines shall lead to regulatory non-compliance.

Further information or queries regarding this communique may be addressed to CDSL through email on dpinfosec@cdslindia.com or dprtastupport@cdslindia.com or by calling on 022-62343333

**For and on behalf of
Central Depository Services (India) Limited**

sd/-

**Ashwin Lalchandani
Assistant Vice President – Risk Management**

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ANNEXURE A

Vulnerability Assessment and Penetration testing (VAPT) Report submission guidelines

Please ensure below mentioned points before submitting the report:-

- Fields marked with an asterisk (*) are mandatory and must be completed before submitting the report.
- Report should be submitted in pdf format.
- DP ID and DP name should be mentioned in the report.
- Year for which submission is being made should be mentioned in the report.
- Detailed report should be submitted which shall include vulnerability count and summary as well.

Step by step guidelines for report submission is mentioned below:-

- **Step 1-** Log in Into Audit web portal by using the link mentioned below:

<https://auditweb.cdslindia.com/Login.aspx>

After opening the portal, Sign in using 'Login Type-**Designated officer**'. Then enter User ID & Password and click on "Sign In" button. (refer to the screenshot below for reference)

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AUDIT APPLICATION

SIGN IN

Login Type: Designated Officer

User ID: --Select--

Password: [Input Field]

Forgot password

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Step 2- Then select audit type “VAPT Report” from the Drop down. (refer to the screenshot below for reference)

The screenshot shows the 'AUDIT APPLICATION' form. The 'Select Audit Type' dropdown menu is open, displaying the following options: --Select--, --Select--, CYBER MULTIPLE INCIDENT REPORT, CYBER ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING REPORT ANNUAL, CYBER INCIDENT REPORT, CYBER SECURITY ANNUAL REPORT, IMPLEMENTATION OF CYBER SECURITY AND CYBER RESILIENCE FRAMEWORK, **VAPT REPORT** (highlighted in blue), and CLOUD FRAMEWORK COMPLIANCE. The form also includes fields for 'Select Audit Month' and 'Select DP / RTA', and a 'Confirm' button. At the bottom, there are links for 'Go to Login' and 'Change Password'.

Step 3- Then select ‘Audit Month’ from the Dropdown & Select DP/RTA as per your relevant audit month and the Name with the corresponding DP ID.

-Click on “Confirm” button. (refer to the screenshot below for reference)

The screenshot shows the 'AUDIT APPLICATION' form with the 'Confirm' button highlighted. The 'Select Audit Type' dropdown menu is set to 'VAPT REPORT'. The form also includes fields for 'Select Audit Month' and 'Select DP / RTA'. At the bottom, there are links for 'View Cyber Report', 'VAPT Compliance', 'Cloud Framework Security', 'Go to Login', and 'Change Password'.



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Step 4 – Fields marked with an asterisk (*) are mandatory and must be completed before submitting the report. (refer to the screenshot below for reference)

CYBER VAPT REPORT

*Audit Type: CYBER VAPT REPORT *DP Name: [REDACTED]

*Schedule No: 2024110792 *DP ID: [REDACTED]

Last date of Submission 31-Dec-2024. If the report is submitted after this date, then it will be treated as Late Submission.

Important Note: Fields marked with an asterisk (*) are mandatory and must be completed before submitting the form.

* No of closed Vulnerabilities: Critical [], High [], Medium [], Low []

* No of Open Vulnerabilities: Critical [], High [], Medium [], Low []

* Period when VAPT was conducted: 2024-25

* VAPT conducted by: [REDACTED]

* Is VAPT vendor CERT-IN empanelled?

* Is the report uploaded on the letterhead of a CERT-In empaneled entity with DP ID and DP Name specified?

SAVE ATTACH FILES SUBMIT TO CDSL BACK

Step 5- Add the details of the VAPT vendor under field “VAPT Conducted by”. (refer to the screenshot below for reference)

VAPT conducted by [REDACTED]

Is VAPT vendor CERT-IN empanelled

Step 6- Click on the Checkbox “Is VAPT vendor CERT-In empanelled”. (refer to the screenshot below for reference)

Is VAPT vendor CERT-IN empanelled

Step 7- Click on the checkbox “Is the report uploaded on the letterhead of a CERT-In empaneled entity with DP ID and DP Name specified”. (refer to the screenshot below for reference)

* Is the report uploaded on the letterhead of a CERT-In empaneled entity with DP ID and DP Name specified?

Step 8- Now click on “Attach files”. (refer to the screenshot below for reference)

SAVE ATTACH FILES SUBMIT TO CDSL BACK

Step 9– Upload the files and click on ‘Submit to CDSL’