

## National Stock Exchange of India Circular

Department: SURVEILLANCE	
<b>Download Ref No:</b> NSE/SURV/ 65097	<b>Date:</b> November 14, 2024
<b>Circular Ref. No:</b> 976/2024	

To All NSE Members

### **Sub: Corrigendum to Cautionary Messages on Trading Terminal - Update**

This is corrigendum to Exchange circular NSE/SURV/64924 dated November 06, 2024.

The aforesaid circular included an Annexure which provided the file structure of the new regulatory indicator file (REG1\_INDDMMYY.csv). There are minor changes in the said file structure w.r.t the description provided at Sr. nos. 42 to 48. The same has been highlighted for easy reference.

The updated file structure of the new regulatory indicator file (along with the sample file) is enclosed as Annexure.

All other contents mentioned in the Exchange circular NSE/SURV/64924 dated November 06, 2024 remain unchanged.

In case of any further queries, members might write to us on [surveillance@nse.co.in](mailto:surveillance@nse.co.in).

### **For National Stock Exchange of India Limited**

**Binoy Yohannan**  
**Associate Vice president**  
**Surveillance**

## New File Structure - Updated

File Name	REG1_INDDMMYY.csv
File Type	Comma Separated
Path	<a href="https://www.nseindia.com/all-reports">https://www.nseindia.com/all-reports</a>

Sr. No.	Field	Data Type	Description
1	ScripCode	Integer	NA
2	Symbol	Char[11]	Symbol of security. Unique identifier. Mandatory field.
3	NSE Exclusive	Char(1)	Y – NSE Exclusive N – NSE Non-exclusive Mandatory field.
4	Status	Char(1)	The trading status of the security Possible Values Active – A Suspend – S Inactive – I Mandatory field.
5	Series	Char(2)	This is Scrip Series of the security. E.g. EQ, BE, BZ, SM, ST, etc.
6	GSM	Char(3)	Stage: 100- Not Applicable in case of normal scrip In case if the scrip is GSM identified then 0- Scrips in GSM 1- Stage 1 GSM scrip 2- Stage 2 GSM scrip 3- Stage 3 GSM scrip 4- Stage 4 GSM scrip 6- Stage 6 GSM scrip
7	Long_Term_Additional_Surveillance_Measure (Long Term ASM)	Char(3)	Stage: 100 – Not under Long Term ASM scrip 1 – Stage 1 Long Term ASM scrip 2 – Stage 2 Long Term ASM scrip 3 – Stage 3 Long Term ASM scrip 4 – Stage 4 Long Term ASM scrip
8	Unsolicited_SMS	Char(3)	Stage: 1 – under SMS – stock under ‘Current Watchlist’ 0– under SMS – stock under ‘For Information’ List 100 – Not under SMS
9	Insolvency_Resoluti on_Process(IRP)	Char(3)	Stage: 100 – Not under IRP 0 – Identified as ‘Receipt of Corporate Announcement / Disclosure from the Company’ 1 – IBC stage I 2 – IBC Stage II

<b>Sr. No.</b>	<b>Field</b>	<b>Data Type</b>	<b>Description</b>
10	Short_Term_Additional_Surveillance_Measure (Short Term ASM)	Char(3)	Stage: 100 – Not under Short Term ASM scrip 1 – Stage 1 Short Term ASM scrip 2 – Stage 2 Short Term ASM scrip
11	Default	Char(3)	Value: 100 – Not under disclosure of Default. 0 – Identified under disclosure of Default. 1 – Identified under Additional Surveillance Measure for disclosure of Default.
12	ICA	Char(3)	Stage: 100 – Not under ICA 0 – Identified under ICA 1 – Identified under Surveillance Measure for ICA
13	Filler4		
14	Filler5		
15	Pledge	Char(3)	Stage: 100 – Not under Surveillance measure in respect of companies with high promoter pledge. 1 – Identified under Surveillance measure in respect of companies with high promoter pledge.
16	Add-on_PB	Char(3)	Value: 100 – Not under Add-on Price Band 1 – Identified under Add-on Price Band
17	Total Pledge	Char(3)	Stage: 100 – No action required 1 – Identified under Regulatory measure on companies as per pledge holding of both promoter as well as non-promoter.
18	Social Media Platforms	Char(3)	Stage: 100 – Not Under Social Media Platforms 2 – Under Social Media Platforms – stocks under ‘Surveillance Measure list’
19	ESM	Char(3)	Stage: 100 - Not Applicable in case of normal scrip In case if the scrip is ESM identified then 1 – Stage I ESM Scrip 2 – Stage II ESM Scrip
20	Loss making	Char(3)	The company has been loss making for at least the last 8 quarters for main board and last 2 years for SME companies on consolidated basis.  Where only standalone results are applicable to the company, the same will be considered instead of consolidated basis. Value: 100 – Not under this category 0 – Under this category

Sr. No.	Field	Data Type	Description
21	The Overall encumbered share in the scrip is more than 50 Percent.	Char(3)	Encumbrance of Promoters/ promoter group shareholding of at least 50% of the total capital Value: 100 – Not under this category 0 – Under this category
22	Under BZ/SZ Group	Char(3)	The company is in BZ/SZ series due to non-compliance with SEBI SOP Circular. Value: 100 – Not under this category 0 – Under this category
23	Company has failed to pay Annual listing fee	Char(3)	The company has failed to pay Annual listing fee. Value: 100 – Not under this category 0 – Under this category
24	Filler12		
25	Derivative contracts in the scrip to be moved out of F and O	Char(3)	The security is scheduled to move out of derivatives. No fresh far month contracts shall be issued. Value: 100 – Not under this category 0 – Under this category
26	Scrip PE is greater than 50 (4 trailing quarters)	Char(3)	The scrip PE is greater than 50 for previous 4 trailing quarters. Value: 100 – Not under this category 0 – Under this category
27	EPS in the scrip is zero (4 trailing quarters) <i>– This includes companies with negative aggregated EPS.</i>	Char(3)	EPS based on previous 4 trailing quarters in the scrip is zero. Value: 100 – Not under this category 0 – Under this category
28	Less than 100 unique PAN traded in previous 30 days	Char(3)	Average daily number of unique Clients/PAN traded in the scrip in previous 30 days is less than 100. Value: 100 – Not under this category 0 – Under this category
29	Mandatory Market making period in SME scrip is over	Char(3)	Mandatory Market making period in this scrip is over, exit may be difficult. Value: 100 – Not under this category 0 – Under this category
30	SME scrip is not regularly traded	Char(3)	The scrip is not regularly traded, and exit may be difficult. Value: 100 – Not under this category 0 – Under this category

Sr. No.	Field	Data Type	Description
31	<p>Close to Close price movement greater than 25perc* in previous 5 trading days.</p> <p><i>Please note para 4.5 of circular NSE/SURV/64402 dated October 04, 2024. "Not under this category" means this criteria is not within Top three criteria as defined in para 4.5 of circular NSE/SURV/64402 dated October 04, 2024.</i></p>	Char(3)	<p>Close to Close price movement was greater than 25% in previous 5 trading days</p> <p>Value:</p> <p>100 – Not under this category</p> <p>0 – Under this category</p>
32	<p>Close to Close price movement greater than 40perc* in previous 15 trading days.</p> <p><i>Please note para 4.5 of circular NSE/SURV/64402 dated October 04, 2024. "Not under this category" means this criteria is not within Top three criteria as defined in para 4.5 of circular NSE/SURV/64402 dated October 04, 2024.</i></p>	Char(3)	<p>Close to Close price movement was greater than 40% in previous 15 trading days</p> <p>Value:</p> <p>100 – Not under this category</p> <p>0 – Under this category</p>
33	<p>Close to Close price movement greater than 100perc* in previous 60 trading Days.</p> <p><i>Please note para 4.5 of circular NSE/SURV/64402 dated October 04, 2024. "Not under this category" means this criteria is not within Top three criteria as defined in para 4.5 of circular NSE/SURV/64402 dated October 04, 2024.</i></p>	Char(3)	<p>Close to Close price movement was greater than 100% in previous 60 trading Days</p> <p>Value:</p> <p>100 – Not under this category</p> <p>0 – Under this category</p>
34	<p>Close to Close price movement greater than 25perc* in previous 15 Days.</p> <p><i>Please note para 4.5 of circular NSE/SURV/64402 dated October 04, 2024. "Not under this category" means this criteria is not within Top three criteria as defined in para 4.5 of circular NSE/SURV/64402 dated October 04, 2024.</i></p>	Char(3)	<p>Close to Close price movement was greater than 25% in previous 15 Days</p> <p>Value:</p> <p>100 – Not under this category</p> <p>0 – Under this category</p>
35	<p>Close to Close price movement greater than 50perc* in previous 1 month.</p> <p><i>Please note para 4.5 of circular NSE/SURV/64402 dated October 04, 2024. "Not under this category" means this criteria is not within Top three criteria as defined in para 4.5 of circular</i></p>	Char(3)	<p>Close to Close price movement was greater than 50% in previous 1 month</p> <p>Value:</p> <p>100 – Not under this category</p> <p>0 – Under this category</p>

Sr. No.	Field	Data Type	Description
	<i>NSE/SURV/64402 dated October 04, 2024.</i>		
36	<p>Close to Close price movement greater than 90perc* in previous 3 months.</p> <p><i>Please note para 4.5 of circular NSE/SURV/64402 dated October 04, 2024. "Not under this category" means this criteria is not within Top three criteria as defined in para 4.5 of circular NSE/SURV/64402 dated October 04, 2024.</i></p>	Char(3)	<p>Close to Close price movement was greater than 90% in previous 3 months</p> <p>Value:</p> <p>100 – Not under this category</p> <p>0 – Under this category</p>
37	<p>Close to Close price movement greater than 25perc* in previous 1 month.</p> <p><i>Please note para 4.5 of circular NSE/SURV/64402 dated October 04, 2024. "Not under this category" means this criteria is not within Top three criteria as defined in para 4.5 of circular NSE/SURV/64402 dated October 04, 2024.</i></p>	Char(3)	<p>Close to Close price movement was greater than 25% in previous 1 month</p> <p>Value:</p> <p>100 – Not under this category</p> <p>0 – Under this category</p>
38	<p>Close to Close price movement greater than 50perc* in previous 3 months.</p> <p><i>Please note para 4.5 of circular NSE/SURV/64402 dated October 04, 2024. "Not under this category" means this criteria is not within Top three criteria as defined in para 4.5 of circular NSE/SURV/64402 dated October 04, 2024.</i></p>	Char(3)	<p>Close to Close price movement was greater than 50% in previous 3 months</p> <p>Value:</p> <p>100 – Not under this category</p> <p>0 – Under this category</p>
39	<p>Close to Close price movement greater than 200perc* in previous 365 Days.</p> <p><i>Please note para 4.5 of circular NSE/SURV/64402 dated October 04, 2024. "Not under this category" means this criteria is not within Top three criteria as defined in para 4.5 of circular NSE/SURV/64402 dated October 04, 2024.</i></p>	Char(3)	<p>Close to Close price movement was greater than 200% in previous 365 Days</p> <p>Value:</p> <p>100 – Not under this category</p> <p>0 – Under this category</p>
40	<p>Close to Close price movement greater than 75perc* in previous 6 months.</p> <p><i>Please note para 4.5 of circular NSE/SURV/64402 dated October 04, 2024. "Not under this category" means this criteria is not within Top three</i></p>	Char(3)	<p>Close to Close price movement was greater than 75% in previous 6 months</p> <p>Value:</p> <p>100 – Not under this category</p> <p>0 – Under this category</p>

Sr. No.	Field	Data Type	Description
	<i>criteria as defined in para 4.5 of circular NSE/SURV/64402 dated October 04, 2024.</i>		
41	<p>Close to Close price movement greater than 100perc* in previous 365 Days.</p> <p><i>Please note para 4.5 of circular NSE/SURV/64402 dated October 04, 2024. "Not under this category" means this criteria is not within Top three criteria as defined in para 4.5 of circular NSE/SURV/64402 dated October 04, 2024.</i></p>	Char(3)	<p>Close to Close price movement was greater than 100% in previous 365 Days</p> <p>Value:</p> <p>100 – Not under this category</p> <p>0 – Under this category</p>
42	<p>High low price variation greater than 75perc* in previous 1 month.</p> <p><i>Please note para 4.5 of circular NSE/SURV/64402 dated October 04, 2024. "Not under this category" means this criteria is not within Top three criteria as defined in para 4.5 of circular NSE/SURV/64402 dated October 04, 2024.</i></p>	Char(3)	<p>High low price variation was greater than 75% in previous 1 month</p> <p>Value:</p> <p>100 – Not under this category</p> <p>0 – Under this category</p>
43	<p>High low price variation greater than 150perc* in previous 3 months.</p> <p><i>Please note para 4.5 of circular NSE/SURV/64402 dated October 04, 2024. "Not under this category" means this criteria is not within Top three criteria as defined in para 4.5 of circular NSE/SURV/64402 dated October 04, 2024.</i></p>	Char(3)	<p>High low price variation was greater than 150% in previous 3 months</p> <p>Value:</p> <p>100 – Not under this category</p> <p>0 – Under this category</p>
44	<p>High low price variation greater than 75perc* in previous 3 months.</p> <p><i>Please note para 4.5 of circular NSE/SURV/64402 dated October 04, 2024. "Not under this category" means this criteria is not within Top three criteria as defined in para 4.5 of circular NSE/SURV/64402 dated October 04, 2024.</i></p>	Char(3)	<p>High low price variation was greater than 75% in previous 3 months</p> <p>Value:</p> <p>100 – Not under this category</p> <p>0 – Under this category</p>
45	<p>High low price variation greater than 300perc* in previous 365 Days.</p> <p><i>Please note para 4.5 of circular NSE/SURV/64402 dated October 04, 2024. "Not under this category" means</i></p>	Char(3)	<p>High low price variation was greater than 300% in previous 365 Days</p> <p>Value:</p> <p>100 – Not under this category</p> <p>0 – Under this category</p>

Sr. No.	Field	Data Type	Description
	<i>this criteria is not within Top three criteria as defined in para 4.5 of circular NSE/SURV/64402 dated October 04, 2024.</i>		
46	<p>High low price variation greater than 100perc* in previous 6 months.</p> <p><i>Please note para 4.5 of circular NSE/SURV/64402 dated October 04, 2024. "Not under this category" means this criteria is not within Top three criteria as defined in para 4.5 of circular NSE/SURV/64402 dated October 04, 2024.</i></p>	Char(3)	<p>High low price variation was greater than 100% in previous 6 months</p> <p>Value:</p> <p>100 – Not under this category</p> <p>0 – Under this category</p>
47	<p>High low price variation greater than 200perc* in previous 365 Days.</p> <p><i>Please note para 4.5 of circular NSE/SURV/64402 dated October 04, 2024. "Not under this category" means this criteria is not within Top three criteria as defined in para 4.5 of circular NSE/SURV/64402 dated October 04, 2024.</i></p>	Char(3)	<p>High low price variation was greater than 200% in previous 365 Days</p> <p>Value:</p> <p>100 – Not under this category</p> <p>0 – Under this category</p>
48	<p>High low price variation greater than 150perc* in previous 12 months.</p> <p><i>Please note para 4.5 of circular NSE/SURV/64402 dated October 04, 2024. "Not under this category" means this criteria is not within Top three criteria as defined in para 4.5 of circular NSE/SURV/64402 dated October 04, 2024.</i></p>	Char(3)	<p>High low price variation was greater than 150% in previous 12 months</p> <p>Value:</p> <p>100 – Not under this category</p> <p>0 – Under this category</p>
49	Filler17		
50	Filler18		
51	Filler19		
52	Filler20		
53	Filler21		
54	Filler22		
55	Filler23		
56	Filler24		
57	Filler25		
58	Filler26		
59	Filler27		
60	Filler28		



Sr. No.	Field	Data Type	Description
61	Filler29		
62	Filler30		
63	Filler31		

**Note:** \* 'perc' refers to percentage.

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