

<b>Department: Inspection</b>	<b>Segment: All</b>
<b>Circular No: MSE/INSP/13333/2023</b>	<b>Date : April 13, 2023</b>

**Subject: Risk Based Supervision (RBS) – Submission of information for the period April 01, 2022 to March 31, 2023**

To All Trading Members,

Members are requested to submit the information / data towards the Risk Based Assessment for the period April 01, 2022 – March 31, 2023 to the Exchange. The particulars of such information / data sought in this regard are enclosed as **Annexure-A**. The same has to be submitted to the Exchange electronically through the Inspection module in the Member portal latest by **May 31, 2023**.

The process and steps to be followed for the submission of the data is provided in **Annexure – B**. The said annexure also provides the screen shots which will enable the users to understand the system and upload / submit the requisite data.

It is to be noted that the submission of RBS data is mandatory for all active Members of the Exchange (i.e. those who have executed even a single trade during the aforementioned period i.e. April 01, 2022 to March 31, 2023). Further, the data collected from the Members/Exchange towards RBS is shared with SEBI and in case of any non-submission/delayed submission by a Member, disciplinary action(s) as prescribed in **Annexure – C** will be initiated.

All members are requested to take note of the above and comply.

**For and on behalf of**  
**Metropolitan Stock Exchange of India Limited**

**Mahendra Choudhari**  
**AVP – Inspection and Membership Department**

In case of any query kindly contact Inspection Department on 6112 9000 or mail on [compliancemsx@msei.in](mailto:compliancemsx@msei.in)

**Metropolitan Stock Exchange of India Limited**