

National Stock Exchange of India Limited

Circular

Department: MEMBER SERVICE DEPARTMENT	
Download Ref No: NSE/MSD/64150	Date: September 25, 2024
Circular Ref. No: 63/2024	

All Market Participants,

Sub: Non-Neat Systems - Revision in format of Auditor Report & Certificate document for registration of Non-Neat Frontend (NNF) software.

Exchange vide circular no. NSE/MSD/62692 dated June 28, 2024 on the captioned subject had revised the documents/formats required for registration of NNF applications by the Trading members /Empanelled Vendors/ASPs of the Exchange. The revised formats were applicable with immediate effect of issuance of the captioned circular, however application in old formats as communicated vide circular NSE/MSD/61825 dated April 30, 2024, were acceptable for requests submitted till July 31, 2024.

Market participants who have registered NNF applications during the period April 1, 2024 to July 31, 2024 with old formats are required to submit the below documents as per revised formats in physical hard copies to the Exchange for all Internet Based Trading (IBT), Securities Trading Wireless Technology (STWT), Direct market Access (DMA) & Smart Order Routing (SOR) communicated vide circular no. NSE/MSD/62692 dated June 28, 2024 (formats reattached for ready reference).

Market Participant	Application Type	Documents
Trading Member	Vendor application	1. Auditor Certificate Annexure III 2. Additional Declaration 3. Covering letter
	In-House application	1. Auditor Certificate Annexure III 2. Additional Declaration 3. Change Description (only in case of modification request) 4. Covering letter
Empanelled Vendor	-	1. Annexure B2 2. Change Description (only in case of modification request) 3. Covering letter
Application Service Provider (ASP)	-	1. Annexure 3 2. Additional Declaration 3. Change Description (only in case of modification request) 4. Covering letter

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- Market Participant are required to submit the above-mentioned documents in original form to the Exchange duly signed and stamped by System Auditor on all pages along with a covering letter as per attached format.
- Document submission is required only for applications with status as **“Registered”**.
- Market participants are required to submit the documents on or before October 15, 2024.
 - Trading members can check the MIS report at following path on ENIT → Trade → Trading → Registration MIS (report).
 - Empanelled Vendors can check the MIS report at following path on ENIT → Product Registration → MIS report and STWT MIS report.

For and on behalf of
National Stock Exchange of India Limited

Bharat Gandhi
Chief Manager

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