



Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/POLCY/2024/539

September 16, 2024

SEBI CIR - REPORTING BY FOREIGN VENTURE CAPITAL INVESTORS

DPs are advised to refer to the SEBI Circular no. **SEBI/HO/AFD/AFD-PoD-3/P/CIR/2024/121** dated **September 13, 2024**, regarding **Reporting by Foreign Venture Capital Investors** [refer Annexure]

DPs are advised to take note of the same.

Queries regarding this communiqué may be addressed to: CDSL – Helpdesk Emails may be sent to: dptasupport@cdslindia.com and connect through our IVR Number 022-62343333 .

For and on behalf of
Central Depository Services (India) Limited

sd/-

Nilesh Shah
Asst. Vice President

CIRCULAR

SEBI/HO/AFD/AFD-PoD-3/P/CIR/2024/121

September 13, 2024

To,

- 1. All Foreign Venture Capital Investors**
- 2. All Custodians**

Dear Sir / Madam,

Subject: Reporting by Foreign Venture Capital Investors (FVCIs).

1. In accordance with Regulation 13(1) of SEBI (FVCI) Regulations, 2000, FVCIs are required to submit quarterly reports to SEBI in the format specified with respect to their venture capital activity as Foreign Venture Capital Investor.
2. The format for the quarterly report on venture capital activity to be submitted by FVCIs is revised and same is enclosed as Annexure-1.
3. FVCIs shall submit the aforesaid quarterly report irrespective of the fact that any investment is made or not during the quarter.
4. In accordance with Regulation 14 (2) of FVCI Regulations, 2000, Custodian shall be responsible for timely submission of the report.

Applicability

5. The report for the quarter ending September 30, 2024 and December 31, 2024 shall be submitted in excel file in the revised format by November 15, 2024 and January 15, 2025 respectively through email at fvcireport@sebi.gov.in.

6. From quarter ending March 31, 2025 onwards, FVCIs shall submit quarterly report in the revised format on the SEBI intermediary portal (SI Portal). The report shall be submitted within 15 calendar days from the end of each quarter.
7. This Circular is issued in exercise of the powers conferred under Section 11(1) and Section 11A of the Securities and Exchange Board of India Act, 1992 read with Regulations 13 (1) SEBI (Foreign Venture Capital Investor) Regulations, 2000 to protect the interest of investors in securities and to promote the development of, and to regulate the securities market.
8. This Circular is available at www.sebi.gov.in under the link "Legal --- Circulars".

Yours faithfully,

Manish Kumar Jha
Deputy General Manager
Tel No.: 022 –26449219
E-mail: manishkj@sebi.gov.in

Encl: Annexure-1

FVCI Quarterly Reporting Format
A. General Information about the FVCI

Table 1: General Information about the FVCI		
Sl. No	Particulars	Details
1.	Name of the FVCI	
2.	SEBI Registration No	
3.	Date of grant of SEBI Registration	
4.	Date of Incorporation/Establishment	
5.	Country of Incorporation/Establishment	
6.	Legal Form of FVCI	
7.	Name of the investment manager / advisor, if any.	
8.	Principal place of business a. Name of the Country b. Whether the securities market regulator is signatory to IOSCO MMOU (Appendix-A to signatories) or a signatory to bilateral MoU with SEBI. c. If FVCI is a Bank, whether the central bank is a member of BIS. d. Whether the country is listed in FATF public statements conveying jurisdictions with deficiencies	(Yes/No) (Yes/No) (Yes/No)
9.	PAN of FVCI	
10.	Address of FVCI	
11.	Contact Details a. Name of the Contact Person / Name of the Compliance Officer b. Designation c. Communication address d. Phone Number e. Email ID	
12.	Name of the Custodian	
13.	Name of the Global Custodian, if any	
14.	Name of Designated Bank	
15.	Whether FVCI is regulated by an appropriate foreign regulator in home jurisdiction (Yes / No) a. If yes, name of the regulator b. Name of the category in which it is registered	

16. Whether FVCI is registered with SEBI in any other category. If yes, details are per table below

Name of the entity	Category	Registration No.	Date of Registration

17. Details of Directors

Serial No.	Name	Nationality	Address	Contact No.	Email address

18. Any instance of violation or non – adherence to securities laws etc (Refer Form A under FVCI Regulations)

19. Name of Tax consultant for FVCI in India (if applicable)

B. Cumulative details of the funds raised & invested by all schemes under the fund

1. Total Investible Funds (In Rs. Crores)#

“Investible Funds” means the funds Committed for Investment in India net of expenditure for administration and management of the fund

2. Brief Investment details of the FVCI in India (Rs. Crore)

Investment details (in Rs. Crore)					
In Equity		In Debt		In AIF/ Venture Capital Funds	Total
Listed	Unlisted	Listed	Unlisted		

Note: The value shall be put in terms of investment amount / cost of investment.

3. Investment Details (in Rs. Crores)

Name of the Scheme / fund	Duration of Scheme /fund	Cumulative Investments made by the schemes/fund under Regulation 11(c)(i) of FVCI Regulations#		Cumulative investment made by the schemes / fund under Regulation 11(c)(ii) of the FVCI Regulations					Total Investment (A + B + C + D)	% of investments under 11(c)(i) compared to total investments
		Investments (A)	% of Total Investible Funds in (A)	In listed companies (B)	In Debt (C)	In SPVs (D)	Total (B + C + D)	% of Total Investible Funds in (B + C + D)		

Investment in equity /equity linked instrument of unlisted companies

4. Industry wise investment Breakup (cumulative) (in Rs. Crores)

Sr. No	Industry	Amount invested directly				Amount invested through VCFs/AIFs				Total
		At the beginning of the quarter	Investment during the quarter	Divestments during the quarter	At the end of the quarter	At the beginning of the quarter (a)	Investment during the quarter	Divestments during the quarter	At the end of the quarter	
		(a)	(b)	(c)	(a+b-c)	(a)	(b)	(c)	(a+b-c)	
1	Biotechnology									
2	Information Technology									
3	Nanotechnology									
4	Seed Research and Development									
5	Pharmaceutical									
6	Dairy Industry									
7	Poultry Industry									
8	Production of Biofuels									
9	Infrastructure Sector.									
i.	**Transport and Logistics									
ii.	***Energy									
iii.	Water and Sanitation#									
iv.	Communication ##									
v.	Social/ Commercial Infrastructure\$									
10	*NBFC									
11	Others (dropdown as below)									
	Total									

* NBFC means Core Investment Companies (CICs), Asset Finance Company(AFCs) and Infrastructure Finance Companies (IFCs) registered with RBI.

**Includes Road/Bridges, Ports, Shipyards, Railways.

***Includes Electricity Generation/Transmission/Distribution, Oil/Gas/LNG storage facility, ESS.

Includes Solid Waste Management, Water treatment plant, Irrigation, Sewage, Drainage etc.

Includes Telecommunication and Data Center.

\$ Includes Hospitals, Education Institutes, Sport Infrastructure, Tourism Infrastructure, Industrial Parks/SEZ, Post-harvest storage infrastructure for agriculture/horticulture, Cold chain etc

Other Sectors

1. Services Sector
2. Media/ Entertainment
3. Industrial Products
4. Auto/ Auto Ancillary
5. Banking and financial services (Excluding NBFCs)
6. FMCG/Food and beverages
7. Healthcare
8. Real estate
9. Mining, exploration and refining
10. Packaging
11. Textiles
12. Retail
13. Chemicals/Petrochemicals/Plastic/Rubber
14. Hotel-cum-conventional centres with more than 3,000 seats
15. Soil Testing Laboratories
16. Exhibition-cum-Convention Centre.

Option to add sectors included