



# Central Depository Services (India) Limited

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## COMMUNIQUE TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/SETTL/2024/308

June 06, 2024

### NEW TRANSACTION STATUS AND TRANSACTION CODES FOR AIF TRANSACTIONS

DPs are hereby advised to refer to Communique No. **CDSL/OPS/DP/POLCY/2024/38** dated **January 15, 2024**, informing the issuance of SEBI Circular no. **SEBI/HO/AFD/PoD/CIR/2024/5** dated **January 12, 2024** regarding the Guidelines for Alternative Investment Fund (AIF) with respect to holding their investments in dematerialised form and appointment of custodian.

DPs are now advised to note that the following value of Transaction Status and Transaction Codes have been added in the DP57 report with respect to AIF transactions.

#### 1. InterDepository Transactions (IDT) – Transaction type - 5

##### a. Transaction status

- 545 – Non authenticated OTP transaction cancelled / pending investment manager authentication cancelled in EOD
- 549 – Pending for investment manager authentication
- 550 – Accepted by investment manager
- 551 – Rejected by investment manager

#### 2. Transactions – Off / On market – Transaction type – 2 / 3

##### a. Transaction Status

- 326 – Auto cancelled from CDS/ pending investment manager authentication cancelled in EOD
- 337 – Pending for investment manager authentication
- 338 – Accepted by investment manager
- 339 – Rejected by investment manager

##### b. Transaction Code

- 4475 – Setup checker accept (also for transaction Status 333 & 334 & 337 & 338 & 339)
- 4483 – Setup checker reject/ rejected OTP Authenticated Transaction (Also for transaction status 335 & 336) / rejected by investment manager

#### 3. Pledge – Transaction type - 8

##### a. Transaction Status

- 841 – Pending investment manager authentication
- 842 – Accepted by investment manager



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- 843 – Rejected by investment manager
- 844 – Margin pledge cancelled by pledgor

### **b. Transaction Code**

- 3665 – Pending investment manager authentication.
- 3666 – Accepted by investment manager
- 3667 – Rejected by investment manager
- 3668 - Margin pledge cancelled by pledgor

DPs are advised to take note and make the necessary changes in their back-office software wherever applicable.

Queries regarding this communiqué may be addressed to: CDSL – Helpdesk Emails may be sent to: [dpertasupport@cdslindia.com](mailto:dpertasupport@cdslindia.com) and connect through our IVR Number 022-62343333.

**For and on behalf of  
Central Depository Services (India) Limited**

**sd/-**

**Sandeep Chavan  
Senior Manager – Operations**