

<b>SEGMENT: MUTUAL FUND SERVICE SYSTEM</b>	
Download Ref No: NCL/MFSS/61830	Date: April 30, 2024
Circular Ref. No: 0096/2024	

All Participants,

**Sub: Consolidated Circular for MFSS**

NSE Clearing Ltd. from time to time, has been issuing various circulars. With a view to facilitate members/participants, the Clearing Corporation consolidates its various circulars issued from time to time and issues updated consolidated circulars periodically. Accordingly various circulars issued by the Clearing Corporation till March 31, 2024, and updates to earlier consolidated circular are in this circular as under:

This is consolidated circular in respect of mutual fund settlement.

<b>Part A</b>	List of important circulars issued from April 01, 2023, till March 31, 2024.
<b>Part B</b>	Detailed Consolidated Circular
<b>Part C</b>	List of reports
<b>Part D</b>	List of Payment Aggregators

For any further clarifications members may contact the Clearing Corporation:

<b>Department</b>	<b>Email id</b>
Mutual Fund Settlement	mfss_clearing@nsccl.co.in

**For and on behalf of  
NSE Clearing Limited**

**Onkar Phadnavis  
Associate Vice President**



## **PART A**

### **SUMMARY OF IMPORTANT CIRCULARS ISSUED DURING THE PERIOD FROM April 01, 2023 TILL MARCH 31, 2024.**

**No Circulars issued for the Period.**

**PART B**  
**DETAILED CONSOLIDATED CIRCULAR**

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## **ITEM 1: SETTLEMENT SCHEDULE**

The Clearing Corporation shall specify settlement schedule from time to time. The settlement for subscription and redemption shall be in accordance with the settlement schedule specified by the Clearing Corporation. The settlement schedule issued as circular giving the details of settlement date for subscription and redemption of mutual funds orders placed on National Stock Exchange of India Limited (NSE).

## ITEM 2: CLEARING AND SETTLEMENT

All requests for subscription and redemption will be settled on individual basis and only to the extent of the funds/units paid in by clients/AMC on the settlement day. Clearing and settlement procedure for various categories in MFSS is as follows.

### 1. Settlement Schedule for Subscription and Redemption

Settlement schedule for various categories available on NSE is as under. Market timings given are stipulated by NSE.

Category	Settlement Type	Market Timings	Funds (Cut off Time/Day)	Units (Cut off Time/Day)
HLIQUID*	K	9.00 am to 01.00 pm	01.00 pm on T day	T day
NORMAL Subscription	S	9.00 am to 02.30 pm	02.30 pm on T day	T+1 day
NORMAL (NFO)	S	9.00 am to 03.00 pm	03.00 pm on T day	NA **
NORMAL (Redemption)	U	9.00 am to 03.00 pm	T+1 day to T+8 day	04.30 pm on T Day

\*Historical NAV for liquid subscriptions (HLIQD)

\*\* Units settlement is done directly by AMC to investor

In case of change in timelines the Clearing Corporation shall inform Participants by issuing circular.

### 2. Funds Settlement for Subscription:

Receipt and transfer of funds for subscription of mutual fund units will be done in accordance with the settlement schedule.

Participants shall ensure monies of clients for mutual fund transactions on MFSS through any mode like NEFT, RTGS, UPI etc is transferred directly to the bank account of NCL only. In respect of the mandates being used of mutual fund transactions on MFSS following process shall be applicable as clarified by SEBI vide its circular reference number SEBI/HO/IMD/IMD-I DOF5/P/CIR/2022/29 dated March 15, 2022:

### **2.1. Existing Mandates:**

To continue using existing mandates registered in name of Participants before April 1, 2022, NCL shall engage with the payment aggregators including banks of the Participants. Participants shall inform NCL the details of payment aggregator with whom the mandates have been registered as per circular reference number NSE/NMF/51656 dated March 17, 2022

NCL shall facilitate settlement of existing mandates subject to payment aggregator meeting the requirement/guidelines specified by NCL and relevant authority from time to time. List of such eligible payment aggregators shall be informed to the Participants from time to time. List of payment aggregators is provided in **Part D**.

Participants shall provide clear identification of only mutual fund mandates to their payment aggregator along with the designated Clearing Corporation to which monies collected from clients account shall be credited. Payment aggregators shall transfer the funds in respect of only mutual fund transaction on MFSS to the approved account of NCL only.

Participants shall amend its existing agreements with payment aggregator to ensure that the monies collected in respect of mutual fund transactions on MFSS from the bank account of the clients are only credited to the approved account of NCL but not to the bank account of the Participant.

Participants shall accordingly inform the clients regarding the change of beneficiary for existing mandates.

### **2.2. New Mandates:**

On or after April 01, 2022, new mandates shall be accepted only in favour of NCL and those mandates shall exclusively be for mutual fund transactions on MFSS and not for any other purpose.

List of eligible payment aggregators for new mandates shall be informed by Clearing Corporation from time to time. List of payment aggregators is provided in **Part D**.

NCL shall accept funds only from the client bank accounts registered with NSE. Participants shall accordingly ensure valid bank accounts of their client are registered with NSE. In respect of funds received by NCL through payment aggregator, the details like member code, UCC, bank account etc shall be provided by payment aggregator to NCL. Accordingly, Participants shall ensure the required details are updated with their payment aggregators.

All requests for subscription will be settled on individual basis and only to the extent of the funds received from the registered accounts of clients with NSE. Clearing corporation shall settle funds received from clients towards subscription in the account in the specified bank account of AMC.

Clear funds should be available by cut off time by 01:00 p.m. (for HLIQD) and by 02:30 p.m. (for Normal, SIP and NFO orders) in the designated NCL account for processing the order on T day. For the funds received through payment aggregators, transactions shall be processed subject to funds and its related details made available prior to cut off.

Wherever the funds collected from the bank account of the client are not from the registered account on NSE or falls short of the order value, the order shall be rejected. In such cases, the funds collected, if any, shall be returned to the bank account of the client from where the funds were received.

In case of rejection order by RTA, respective AMC/RTA shall arrange to provide refund along with details of transactions rejected by them with reason for rejection latest by the end of T + 2 day (where T is day of reporting the transaction to RTA or date of applicable NAV whichever is later). In the event of failure to credit the refund amount to the client's bank account by clearing corporation for whatever reasons, clearing corporation shall refund the amount back to the respective AMC.

For NFO fund settlement shall be executed on date of order. In case of rejection, RTAs/AMCs shall arrange to credit the refund for such rejected transactions in the designated bank account of clearing corporation within T + 5 day (where T is the date of closure of NFO). In respect of partial allotment the refund shall be given within "T+5" business days after close of NFO.

### **3. Units Settlement for Subscription**

For demat transactions the units shall be credited to the investors' demat account by clearing corporation as per the settlement schedule and based on the allotment information provided by the RTA and the depository pay-in files. For non-demat the units shall be credited and debited directly to folio account by RTA.

Participant shall ensure valid demat account is registered with NSE. NCL shall credit the units to the demat account which is received in the order placed on NSE.

If credit to the beneficiary accounts of the client is unsuccessful which could be on account of incorrect/inactive account or any other reason, the units shall be transferred to AMC Account. On receipt of refund from AMC, NCL shall refund the amount to the clients source account.

For NFO the unit settlement shall be done directly by the RTA to the clients of Participant.

### 3.1. Subscription unit shortage

If units are not provided or partial quantity is received from AMC, the available units shall be allocated to the subscription orders. The shortages shall be shifted to next immediate settlement type and settlement number till S+5. However, such shifted orders shall be settled in next settlement cycle in a second preference i.e. priority shall be given to orders received for the present settlement type and settlement number and then to shortages of previous settlement in ascending order of the trade date i.e. oldest date orders will be given priority after the present settlement orders. A report shall be sent to participants, for information of shortage.

The RTA is required to provide the remaining quantity for unallocated orders in AMC Account for settlement in next immediate settlement type and settlement number till S+5. In case, the shifted orders remain un-allocated in S+5 settlement cycle, the same shall be treated as closed i.e. no further attempt shall be made to get the units from AMC/ RTA for allocation. AMC/ RTA is expected to settle the units for such orders directly to investor.

An example is given below to explain the same:

Settlement Date	Current Trade Date	Shortages in Previous Trade Date				
	Priority 1	Priority 2	Priority 3	Priority 4	Priority 5	Priority 6
03-Mar-2020	02-Mar-2020	24-Feb-2020	25-Feb-2020	26-Feb-2020	27-Feb-2020	28-Feb-2020
04-Mar-2020	03-Mar-2020	25-Feb-2020	26-Feb-2020	27-Feb-2020	28-Feb-2020	02-Mar-2020
05-Mar-2020	04-Mar-2020	26-Feb-2020	27-Feb-2020	28-Feb-2020	02-Mar-2020	03-Mar-2020
06-Mar-2020	05-Mar-2020	27-Feb-2020	28-Feb-2020	02-Mar-2020	03-Mar-2020	04-Mar-2020
09-Mar-2020	06-Mar-2020	28-Feb-2020	02-Mar-2020	03-Mar-2020	04-Mar-2020	05-Mar-2020
10-Mar-2020	09-Mar-2020	02-Mar-2020	03-Mar-2020	04-Mar-2020	05-Mar-2020	06-Mar-2020



#### **4. Units Settlement for Redemption**

Receipt and transfer of mutual fund units for redemption will be done on T day and will be conducted for units in dematerialized form only.

The cut-off time to receive units for redemption shall be 4:30 PM. The delivery instructions for crediting the units for redemption should be given by the investor to the following account in **NSDL** as an on-market transaction:

CM BPID: IN565576

The following details should be mentioned in addition to the above:

CM Name: NSCCL

ISIN:

Market Type:

Settlement No.:

(Note: – Market type will be ‘Liquid Redemption’ for Liquid/Debt schemes and ‘Normal Redemption’ for Non-liquid/Equity schemes)

The delivery instructions for crediting the units for redemption should be given by the investor to the following account in **CDSL**:

Exchange Name: National Stock Exchange of India Ltd ISIN:

Market Type:

Settlement No.:

Counter BO Id (NSE CLEARING House Account): 1100001100017837

(Note: – Market type will be ‘Liquid Redemption’ for Liquid/Debt schemes and ‘MF Repurchase’ for Non-liquid/Equity schemes)

The delivery instructions for crediting the units for redemption will be between CDSL accounts (CDSL to CDSL transfer).

## **5. Funds Settlement for Redemption**

Funds pay-out shall be credited to the bank account of the client of the Participant by the Clearing Corporation. The pay-out shall be credited in the bank account received in the order from NSE.

The payout of funds shall be released from T+1 to T+8 day as per scheme category and timelines stipulated from time to time. In the event of failure to credit the redemption proceeds to the client's bank account for whatever reasons, CC shall arrange to refund the amount back to the respective AMC.

## **6. Information to Participants**

NCL shall inform the details of transaction status, allotment details etc through reports. Participants shall provide the relevant information to their respective clients. For transactions under Non-demat mode, communications will be sent by RTA.

### **ITEM 3: OVERNIGHT LIQUID TRANSACTIONS**

Overnight Liquid transactions is an order entry functionality which allows the participants to place purchase and redemption orders simultaneously in liquid schemes. This facility is available in Physical mode only.

#### **1. Subscription order**

- Subscription orders for specified schemes (physical mode only) with Historical NAV shall be settled under settlement type K. These will be traded under category code HLIQD in series LQ.
- Funds settlement procedure for subscription orders shall be same as mentioned for HLIQD category subscriptions orders.

#### **2. Redemption order**

- Redemption orders shall be processed under category code LIQID only for the valid corresponding subscription orders.
- In case Participant has opted for redemption of all units, redemption orders shall be processed for equivalent quantity of units allotted by the RTA/AMC for the corresponding subscription order.
- Redemption order shall not be processed in case the corresponding subscription order is rejected by the RTA/AMC or Clearing Corporation.
- The redemption orders shall be processed with NAV as given by RTA at the end of T day and accordingly the redemption proceeds shall be computed
- The funds pay-out shall be carried out to the client for redemption proceeds by crediting the clients designated clearing bank account on T+1 day.

#### **ITEM 4: CLEARING AND SETTLEMENT PROCEDURE – NEW FUND OFFER (NFO) / FURTHER FUND OFFER (FFO) OF ETF ON MFSS**

NSE offers subscription for New Fund Offer (NFO) and/or Further Fund Offer (FFO) of ETF on MFSS platform. NSE Clearing shall settle the funds pertaining to NFO and FFO.

- Subscription period shall be declared by NSEIL for the NFO/ FFO.
- Clearing Corporation shall download the Subscription obligation files (SOBG) - Including the Mentioned ETF orders.
- Order cancellation procedure is same as that of Normal Market Subscription
- Fund settlement shall be executed on T day.

##### **1. Refund**

Refund will be processed by AMC/RTA post allotment of units within 5 working days. AMC/ RTA shall provide the refund of subscription amount (if any) to Clearing Corporation in order to refund the same to clients. NSE Clearing shall refund the amount to the participants Settlement Account maintained for MFSS segment.

The refund amount shall be processed as per the details received from AMC/RTA.

Clearing Corporation shall not be involved in any disputes or queries related to the refund.

##### **2. Unit settlement and allotment**

Allotment of units will be done within five days (tentative) after NFO/FFO closure by AMC/RTA. The details of allotment shall be intimated subsequently by Clearing Corporation. For queries on allotment/refund status the Participants are requested to contact respective AMC/RTA. NSEIL/NSE Clearing Limited shall not be involved in any disputes or queries related to the allotment or refund.

In case of any dispute between the members and the client arising out of their participation in any NFO/FFO subscription on the Platform, AMC / RTA shall provide necessary and suitable administrative support for the speedy redressal of the dispute through such mechanism as may be notified by AMC /RTA from time to time. NSEIL/NSE Clearing Limited shall in no event be made party to such dispute or be liable for any compensation to the participants or the clients.

## **ITEM 5: LIMITATION OF LIABILITY**

NSE Clearing Limited is only a facilitator and not a counter party for the initial offer /sale /repurchase/redemption of units. NSE Clearing Ltd. will not guarantee the fulfilment of the settlement obligations. NSE Clearing Ltd. shall not be liable for obligations arising out of initial offer/sale/repurchase/redemption of units by Participants and to any losses in connection therefrom.

The Mutual Fund subscription service is provided on a best effort basis. NSE Clearing Ltd. would not be responsible for any delay / unforeseen circumstances / banking system error / delay, on account of which funds may not reach AMC on time.



## PART C REPORTS

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**1. SUBSCRIPTION OBLIGATION REPORT (SOBG)**

File Name	M_<MEMBERCODE>_SOBG_<DDMMYYYY>.csv
File Location	MFSS/ M<Members Folder>/Reports/Dnld
File Type	CSV

**File Details -**

Order Date  
Settlement Date  
Settlement Type  
Settlement Number  
Trading Member Code  
Client Code  
Depository ID  
DP Client ID  
Order Number  
Order Indicator ('S' for Subscription)  
Symbol  
Series  
Amount (Rs.)

**2. FINAL SUBSCRIPTION OBLIGATION REPORT (FOBG)**

File Name	M_<MEMBERCODE>_FOBG_<DDMMYYYY>.csv
File Location	MFSS/ M<Members Folder>/Reports/Dnld
File Type	CSV

**File Details -**

Order Date  
Settlement Date  
Settlement Type  
Settlement Number  
Trading Member Code  
Client Code  
Depository ID  
DP Client ID  
Order Number  
Order Indicator ('S' for Subscription)  
Symbol  
Series



### 3. DELIVERIES REPORT (DLVR)

File Name	M_<MEMBERCODE>_DLVR_SETTYPNO_<DDMMYYYY>.csv
File Location	MFSS/ M<Members Folder>/Reports/Dnld
File Type	CSV

**File Details –**

Settlement Number  
Delivery Date  
Security Symbol  
Security Series  
ISIN Code  
Quantity to be Received (Electronic)  
Quantity Received (Electronic)  
Order Number  
Client Code

### 4. SECURITY WISE DEMAT RECEIPT STATEMENT (DFRS)

File Name	M <MEMBERCODE> DFRS_<DDMMYYYY>.csv
File Location	MFSS/ M<Members Folder>/Reports/Dnld
File Type	CSV

**File Details –**

Settlement Number  
Security Symbol  
Security Series  
Security ISIN  
Quantity Received  
Depository ID (For which order is entered)  
DP Client ID (For which order is entered)  
Transaction Number





**5. SECURITY SHORTAGE REPORT**

File Name	M_ <MEMBERCODE>_ < SHRT_ SETTYPNO_ DDMMYYYY>.csv
File Location	MFSS/ M<Members Folder>/Reports/Dnld
File Type	CSV

**File Details -**

Order Number  
Client Code  
Settlement Type  
Settlement Number  
Security Symbol  
Security Series  
Security ISIN  
Delivering Member  
Quantity to be Received  
Quantity Received (This will always be NIL)  
Short Quantity

**6. SECURITY SHORTAGE REPORT FINAL**

File Name	M <MEMBERCODE> < SHRT_ F_ SETTYPNO_ DDMMYYYY>.csv
File Location	MFSS/ M<Members Folder>/Reports/Dnld
File Type	CSV

**File Details -**

Order Number  
Client Code  
Settlement Type  
Settlement Number  
Security Symbol  
Security Series  
Security ISIN  
Delivering Member  
Quantity to be Received  
Quantity Received – (This will always be NIL)  
Short Quantity

**7. SECURITY WISE DEMAT DELIVERY STATEMENT (DFDS)**

File Name	M_<MEMBERCODE>_DFDS_<DDMMYYYY>.csv
File Location	MFSS/ M<Members Folder>/Reports/Dnld
File Type	CSV

**File Details -**

Settlement Type  
 Settlement Number  
 Security Symbol  
 Security Series  
 Security ISIN  
 Client Code  
 Quantity Delivered  
 Depository ID (For which order is entered)  
 DP Client ID (For which order is entered)  
 Transaction Number

**8. FNDS REPORT**

File Name	M_<MEMBERCODE>_FNDS_<SETTYPNO>_<DDMMYYYY>.csv
File Location	MFSS/ M<Members Folder>/Reports/Dnld
File Type	CSV

**File Details -**

Settlement Type  
 Settlement Number  
 Delivery Date  
 Security Symbol  
 Security Series  
 ISIN Code  
 Amount to be Received  
 Order Number  
 Client Code

**9. ALLOTMENT REPORT FOR ETF NFO/ FFO**

File Name	M_<MEMBERCODE>_ NFOALLOT_<SETTYPNO>_<DDMMYYYY>_<NN>.txt
File Location	MFSS/ M<Members Folder>/Reports/Dnld
File Type	TXT

**File Details -**

Date of Report  
 Order Number  
 Settlement Type  
 Settlement Number  
 Allotment Mode  
 Order Date  
 Order Time  
 Scheme Category  
 AMC code  
 AMC Scheme Code  
 RTA Code  
 RT Scheme Code  
 Scheme Symbol  
 Scheme Series  
 Scheme Option Type  
 ISIN Code  
 Ordered Quantity  
 Ordered Amount (Rs.)  
 Purchase Type  
 Member Code  
 Branch Code  
 Dealer Code  
 Folio Number  
 Payout Mechanism  
 Application Number  
 Client Code  
 Tax Status  
 Mode of Holding  
 Client Name  
 Depository Name  
 DP ID  
 Client ID  
 NAV for Allotment  
 Quantity Allotted  
 Amount (Rs.)  
 Allotted  
 Stamp Duty



## 10. REFUND REPORT FOR ETF NFO/ FFO

File Name	M_<MEMBERCODE>_REFUND_<DDMMYYYY>_<NN>.txt
File Location	MFSS/ M<Members Folder>/Reports/Dnld
File Type	TXT

### File Details -

Date of Report  
Order Number  
Settlement Type  
Settlement Number  
Allotment Mode  
Order Date  
Order Time  
Scheme Category  
AMC Code  
AMC Scheme code  
RTA Code  
RTA Scheme code  
Scheme Symbol  
Scheme Series  
Scheme Option Type  
ISIN Code  
Ordered Quantity  
Ordered Amount (Rs.)  
Purchase Type  
Member Code  
Branch Code  
Dealer Code  
Folio Number  
Payout Mechanism  
Application Number  
Client Code  
Tax Status  
Mode of Holding  
Client Name  
Depository Name  
DP ID  
DP Client ID  
NAV for Allotment  
Quantity Allotted  
Refund Amount (Rs.)  
SIP Registration Number  
SIP Tranche Number  
Participant DP ID  
Participant Beneficiary ID EUIN  
Number

**11. SUBSCRIPTION ALLOTMENT REPORT**

File Name	M_<MEMBERCODE>_ALLOT_<DDMMYYYY>_NN.txt
File Location	MFSS/ M<Members Folder>/Reports/Dnld
File Type	TXT

**File Details -**

Date of Report  
 Order Number  
 Settlement Type  
 Settlement Number  
 Allotment Mode  
 Order Date  
 Order Time  
 Scheme Category  
 AMC Code  
 AMC Scheme Code  
 RTA Code  
 RT Scheme Code  
 Scheme Symbol  
 Scheme Series  
 Scheme Option Type  
 ISIN Code  
 Ordered Quantity  
 Ordered Amount (Rs.)  
 Purchase Type  
 Member Code  
 Branch Code  
 Dealer Code  
 Folio Number  
 Payout Mechanism  
 Application Number  
 Client Code  
 Tax Status  
 Mode of Holding  
 Client Name  
 Depository Name  
 DP ID  
 DP Client ID  
 NAV for Allotment  
 Quantity Allotted  
 Amount Allotted (Rs.)  
 SIP Registration Number  
 SIP Tranche Number  
 Participant DP ID  
 Participant Beneficiary ID  
 EUIN Number  
 Stamp Duty Amount (Rs.)

## 12. SUBSCRIPTION ALLOTMENT REJECTION REPORT

File Name	M_<MEMBERCODE>_ALLOT_REJ_<DDMMYYYY>.NN.txt
File Location	MFSS/ M<Members Folder>/Reports/Dnld
File Type	TXT

### File Details –

Date of Report  
 Order Number  
 Settlement Type  
 Settlement Number  
 Allotment Mode  
 Order Date  
 Order Time  
 Scheme Category  
 AMC Code  
 AMC Scheme Code  
 RTA Code  
 RT Scheme Code  
 Scheme Symbol  
 Scheme Series  
 Scheme Option Type  
 ISIN Code  
 Ordered Quantity  
 Ordered Amount (Rs.)  
 Purchase Type  
 Member Code  
 Branch Code  
 Dealer Code  
 Folio Number  
 Payout Mechanism  
 Application Number  
 Client Code  
 Tax Status  
 Mode of Holding  
 Client Name  
 Rejection Reason  
 SIP Registration Number  
 SIP Tranche Number  
 EUIN Number



### 13. REDEMPTION REPORT

File Name	M_<MEMBERCODE>_REDEM_<DDMMYYYY>_NN.txt
File Location	MFSS/ M<Members Folder>/Reports/Dnld
File Type	TXT

**File Details –**

Date of Report  
Order Number  
Settlement Type  
Settlement Number  
Allotment Mode  
Order Date  
Order Time  
Scheme Category  
AMC Code  
AMC Scheme Code  
RTA Code  
RTA Scheme Code  
Scheme Symbol  
Scheme Series  
Scheme Option Type  
ISIN Code  
Ordered Quantity  
Ordered Amount (Rs.)  
Purchase Type  
Member Code  
Branch Code  
Dealer Code  
Folio Number  
Payout Mechanism  
Application Number  
Client Code  
Tax Status  
Mode of Holding  
Client Name  
Bank Name  
Bank Account Type  
Bank Account Number  
NAV for redemption  
Quantity Redeemed  
Amount (Rs.) Redeemed  
Entry/Exit Load  
Security Transaction Tax  
TDS  
EUIN Number



#### 14. REDEMPTION REJECTION REPORT

File Name	M_<MEMBERCODE>_REDEM_REJ_<DDMMYYYY>_NN.txt
File Location	MFSS/ M<Members Folder>/Reports/Dnld
File Type	TXT

**File Details –**

Date of Report  
Order Number  
Settlement Type  
Settlement Number  
Allotment Mode  
Order Date  
Order Time  
Scheme Category  
AMC Code  
AMC Scheme Code  
RTA Code  
RT Scheme Code  
Scheme Symbol  
Scheme Series  
Scheme Option Type  
ISIN Code  
Ordered Quantity  
Ordered Amount (Rs.)  
Purchase Type  
Member Code  
Branch Code  
Dealer Code  
Folio Number  
Payout Mechanism  
Application Number  
Client Code  
Tax Status  
Mode of Holding  
Client Name  
Rejection Reason  
EUIN number





## 15. PROVISIONAL ORDER REPORT

File Name	M_<MEMBERCODE>_ORD_PROV_<DDMMYYYY>.csv
File Location	MFSS/ M<Members Folder>/Reports/Dnld
File Type	CSV

### File Details -

Order Number  
Settlement Type  
Settlement Number  
Subscription/Redemption Flag  
Allotment Mode  
Order Date  
Order Time  
AMC Code  
AMC Scheme Code  
RTA Code  
RTA Scheme Code  
Scheme Category  
Scheme Symbol  
Scheme Series  
Scheme Option Type  
ISIN Code  
Quantity  
Amount (Rs.)  
Purchase Type  
Member Code  
Branch Code  
Dealer Code  
Folio Number  
Payout Mechanism  
Application Number  
First Client Code  
Tax Status  
Mode of Holding  
First Client Name  
First Client PAN  
First Client KYC Flag  
Second Client Name  
Second Client Pan  
Second Client KYC Flag  
Third Client Name  
Third Client PAN  
Third Client KYC Flag  
Guardian Name  
Guardian PAN  
Depository Name  
DP ID  
DP Client ID  
Mobile Number



Bank Acct Type Bank  
Account Number  
Bank Name  
Bank Branch  
Bank City  
MICR Code  
NEFT Code  
RTGS Code  
E-mail ID  
Confirmation Flag  
Rejection Reason  
SIP Registration Number  
SIP Tranche Number  
EUIN Number

## 16. FINAL ORDER REPORT

File Name	M_<MEMBERCODE>_ORD_FNL_<DDMMYYYY>.csv
File Location	MFSS/ M<Members Folder>/Reports/Dnld
File Type	CSV

### File Details –

Settlement Type  
Settlement Number  
Subscription/Redemption Flag  
Allotment Mode  
Order Date  
Order Time  
AMC Code  
AMC Scheme Code  
RTA Code  
RTA Scheme Code  
Scheme Category  
Scheme Symbol  
Scheme Series  
Scheme Option Type  
ISIN Code  
Quantity  
Amount (Rs.)  
Purchase Type  
Member Code  
Branch Code  
Dealer Code  
Folio Number  
Payout Mechanism  
Application Number  
First Client Code  
Tax Status  
Mode of Holding  
First Client Name



## First Client PAN

First Client KYC Flag  
Second Client Name  
Second Client Pan  
Second Client KYC Flag  
Third Client Name  
Third Client PAN  
Third Client KYC Flag  
Guardian Name  
Guardian PAN  
Depository Name  
DP ID  
DP Client ID  
Mobile Number  
Bank Account Type  
Bank Account Number  
Bank Name  
Bank Branch  
Bank City  
MICR Code  
NEFT Code  
RTGS Code  
E-mail ID  
Confirmation Flag  
Rejection Reason  
SIP Registration Number  
SIP Tranche Number

### **17. SUBSCRIPTION FUNDS SETTLED REPORT**

File Name	M_<MEMBERCODE>_CLI_FUNDS_<DDMMYYYY>.csv
File Location	MFSS/ M<Members Folder>/Reports/Dnld
File Type	CSV

#### **File Details -**

Order Date  
Order Number  
AMC Code  
AMC Scheme code  
RTA Code  
RTA Scheme code  
Symbol  
Series  
Client Code  
Client Bank name  
Client Bank Account Number  
Amount (Rs.)  
Credit Confirm from Exchange Y/N



**18. SUBSCRIPTION REFUND SETTLED REPORT**

File Name	M_<MEMBERCODE>_CLI_REFUND_<DDMMYYYY>.csv
File Location	MFSS/ M<Members Folder>/Reports/Dnld
File Type	CSV

**File Details -**

Order Date  
Order Number  
AMC Code  
AMC Scheme code  
RTA Code  
RTA Scheme code  
Symbol  
Series  
Client Code  
Client Bank Name  
Client Bank Account Number  
Order Amount (Rs.)  
Allotment Quantity  
Refund Amount (Rs.)  
UTR  
Transfer Date (DDMMYYYY)  
Refund to Client by NCL Amount (Rs.)  
Returned to AMC by NCL Amount (Rs.)



**19. REDEMPTION FUNDS REPORT**

File Name	M_<MEMBERCODE>_CLI_REDEMP_<DDMMYYYY>.csv
File Location	MFSS/ M<Members Folder>/Reports/Dnld
File Type	CSV

**File Details -**

Order Date

Order Number

AMC Code

AMC Scheme Code

RTA Code

RTA Scheme Code

Symbol

Series

Client Code

Client Bank Name

Client Bank Account Number

Redemption Amount (Rs.)

UTR

Transfer Date (DDMMYYY)

Redemption Amount (Rs.) to Client by NCL Amount (Rs.)

Redemption Amount (Rs.) Returned to AMC by NCL Amount (Rs.)

**PART D**

**List of Payment Aggregators**

A. For handling existing mandates/payment instructions in the name of Stockbrokers.

- IDFC First Bank
- ICICI Bank
- Axis Bank
- HDFC Bank
- IndiaIdeas.Com Limited
- Finlogic Technologies India Private Limited
- Worldline ePayments India Private Limited
- Razorpay Software Private Limited
- Computer Age Management Services Limited

B. For new mandates/payment instructions from 01-July-2022 in the name of Clearing Corporation.

- Finlogic Technologies India Private Limited
- Yes Bank Limited
- IndiaIdeas.Com Limited
- Computer Age Management Services Limited
- HDFC Bank
- HSBC Bank