



Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/PMLA/DP/POLCY/2024/117

February 28, 2024

7 RFIs AS PER SUPPLEMENTAL GUIDELINES BY FIU(IND)

This is in reference to Communique no CDSL/PMLA/DP/POLCY/2023/184 dated March 23, 2023, regarding Supplemental Guidelines for detecting suspicious transactions under rule 7(3) of Prevention of Money Laundering (Maintenance of Records) Rules, 2005, issued by FIU-IND.

DPs are advised to refer:

- E-mails sent to the Designated Director & Principal Officer on 28-Jul-2022 and 07-Dec-2022
- Communique No. CDSL/PMLA/DP/POLCY/2022/701 dated December 09, 2022
- Communique No. CDSL/PMLA/DP/POLCY/2023/184 dated March 23, 2023, informing about the 7 RFI Supplemental Guidelines for detecting suspicious transactions under rule 7(3) of Prevention of Money Laundering (Maintenance of Records) Rules, 2005, issued by FIU-IND.

These additional FIU alerts are made available from the month of December 2022 onwards on surveillance application of CDSL. (CDAS – Surveillance Application – Inquiry – FIU report Inquiry) & (CDAS – Surveillance Application – Download – FIU report Download).

DPs are advised to ensure that:

- Necessary access rights (Surveillance application -> FIU reports) are provided to concerned officials.
- Designated Directors & Principal Officers will be responsible, and they must ensure that all the alerts provided on Surveillance app FIU reports are downloaded on monthly basis, thoroughly analyzed, processed and necessary actions are taken (including filing of STR with FIU-INDIA) within stipulated time.
- The status details of the FIU alerts provided by the Depository on Surveillance application should be maintained by the DP in a physical register, the format of the same is provided in the communique CDSL/OPS/DP/POLCY/5156 dated April 22, 2015, and reiterated in the mails sent to the DD and PO dated December 07, 2022.

DPs are also advised to refer Communique no. CDSL/AUDIT/DP/POLICY/2023/658 dated November 10, 2023, regarding reporting of STRs filed “through online facility” to inform CDSL of



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the number of STRs filed by them directly with FIU-IND during a given month within a stipulated time of the next month.

Principal Officer and Designated Directors of the DPs are advised to ensure strict compliance.

Queries pertaining to “FIU Menu not visible” or Login related issues may be addressed to CDSL on helpdesk@cdslindia.com and telephone numbers 08069144800.

Queries pertaining to FIU-IND new alerts may be addressed to CDSL on pmla@cdslindia.com or CDSL Officials: Abhishek Mohanty 022 – 62343058 / Vikram Prajapati 022 – 62343060.

sd/-

Abhishek Mohanty
Manager – Surveillance

