

National Stock Exchange Of India Limited**Department: INVESTOR SERVICES CELL**

Download Ref No: NSE/ISC/59712

Date: December 11, 2023

Circular Ref. No: 48/2023

To All Members,

Trading supported by Blocked Amount in Secondary Market - Revised file format - UCC

This is with reference to the recent developments in compliance with SEBI circular no. SEBI/HO/MRD/MRD-PoD-2/P/CIR/2023/99 dated June 23, 2023, and Exchange Circular ref. no. NSE/INSP/57314 dated June 27, 2023, concerning "Trading supported by Blocked Amount in Secondary Market."

Exchange has also issued a circular NSE/ISC/59004 dated October 18, 2023, wherein the specific enhancements to the Unique Client Code (UCC) file structure were intimated and the revised file format for upload of UCC details to the Exchange were provided.

Further, an Application Programming Interface (API) based platform for UCC upload on the Exchange is also being provided. User manual (UAT and Live) explaining the process to be followed for availing the API facility is provided on the path - Member Portal-UCI Online-Help Option-Manuals.

In this regard, please note that the UAT environment is being made available to the Trading Members for testing the revised file format. Members can access the test environment using the below mentioned URL:

URL: <https://uat.connect2nsccl.com/MemberPortalRevamp>

The login credentials for accessing this UAT environment for existing users will remain same as they are using now.

Members are requested to take note of the following:

1. Trading Supported by Blocked Amount in Secondary Market is applicable only for Individual and HUF Client Categories. i.e. Client Categories 1 & 3 respectively.
2. Members are advised to select "Yes" in field "Opted for UPI" only for applicable clients who have availed this facility. This facility is applicable for trades executed in "Cash" segment only.
3. The UCC data in the revised file format in live environment shall be accepted only from December 26, 2023. Hence, UCC data in the old file format **shall be rejected** with effect from December 26, 2023.
4. The bank details provided for clients who have opted for availing this facility shall be verified with National Payments Corporation of India (NPCI).

1. Ensure accurate IFSC Code and Bank Account details are updated.
2. Only those bank details are provided wherein the client is a Primary Account holder.
3. Bank account provided must not be categorised as NRE by the Banks.
5. Demat account details provided for the clients who have opted for this facility shall be sent to the depositories for verification.
6. The clients shall be able to avail of this facility, subject to details being verified by the banks and depositories.
7. While an option is provided to upload 5 depository details against a UCC, it may be noted that the first 3 shall be sent to the depositories for mapping UCCs with the demat account details. Hence, the last 2 demat account details must not be marked as “Primary”.
8. Same information should be provided to both the Exchanges for a UCC for the following:
 1. Primary Bank and Primary Depository details
 2. Mobile No. and Email ID
9. Operational guidelines as provided in the NCL circular ref. no. NCL/CMPT/58895 dated October 12, 2023 and ICCL notice no. 20231012-57 October 12, 2023 dated shall be taken note of.
10. All Trading Members are advised to take note of above and perform the UAT prior to uploading the UCC in the revised file format in live environment.

**For and on behalf of
National Stock Exchange of India Limited**

**Shanti Idnani
Assistant Vice President**

Toll Free No	Email id
1800 266 0050 (select option 5)	uci@nse.co.in